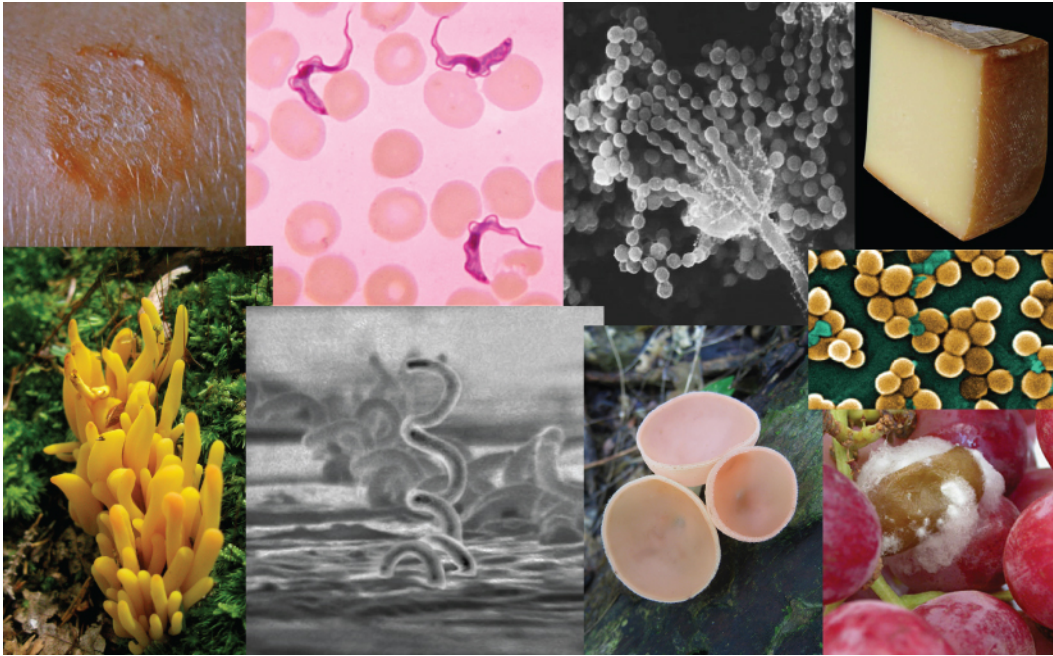


# 13 | DIVERSITY OF MICROBES, FUNGI, AND PROTISTS



**Figure 13.1** Living things are very diverse, from simple, single-celled bacteria to complex, multicellular organisms. (credit "ringworm": modification of work by Dr. Lucille K. Georg, CDC; credit "Trypanosomes": modification of work by Dr. Myron G. Schultz, CDC; credit "tree mold": modification of work by Janice Haney Carr, Robert Simmons, CDC; credit "coral fungus": modification of work by Cory Zanker; credit "bacterium": modification of work by Dr. David Cox, CDC; credit "cup fungus": modification of work by "icelight"/Flickr; credit "MRSA": modification of work by Janice Haney Carr, CDC; credit "moldy grapefruit": modification of work by Joseph Smilanick)

## Chapter Outline

- 13.1: Prokaryotic Diversity**
- 13.2: Eukaryotic Origins**
- 13.3: Protists**
- 13.4: Fungi**

## Introduction

Until the late twentieth century, scientists most commonly grouped living things into five kingdoms—animals, plants, fungi, protists, and bacteria—based on several criteria, such as absence or presence of a nucleus and other membrane-bound organelles, absence or presence of cell walls, multicellularity, and mode of nutrition. In the late twentieth century, the pioneering work of Carl Woese and others compared nucleotide sequences of small-subunit ribosomal RNA (SSU rRNA), which resulted in a dramatically different way to group organisms on Earth. Based on differences in the structure of cell membranes and in rRNA, Woese and his colleagues proposed that all life on Earth evolved along three lineages, called domains. The three domains are called Bacteria, Archaea, and Eukarya.

Two of the three domains—Bacteria and Archaea—are prokaryotic, meaning that they lack both a nucleus and true membrane-bound organelles. However, they are now considered, on the basis of membrane structure and rRNA, to be as different from each other as they are from the third domain, the Eukarya. Prokaryotes were the first inhabitants on Earth, perhaps appearing approximately 3.9 billion years ago. Today they are ubiquitous—inhabiting the harshest environments on the planet, from boiling hot springs to permanently frozen environments in Antarctica, as well as more benign environments such as compost heaps, soils, ocean waters, and the guts of animals (including humans). The Eukarya include the familiar kingdoms of animals, plants, and fungi. They also include a diverse group of kingdoms formerly grouped together as protists.

## 13.1 | Prokaryotic Diversity

By the end of this section, you will be able to:

- Describe the evolutionary history of prokaryotes
- Describe the basic structure of a typical prokaryote
- Identify bacterial diseases that caused historically important plagues and epidemics
- Describe the uses of prokaryotes in food processing and bioremediation

Prokaryotes are present everywhere. They cover every imaginable surface where there is sufficient moisture, and they live on and inside of other living things. There are more prokaryotes inside and on the exterior of the human body than there are human cells in the body. Some prokaryotes thrive in environments that are inhospitable for most other living things. Prokaryotes recycle nutrients—essential substances (such as carbon and nitrogen)—and they drive the evolution of new ecosystems, some of which are natural while others are man-made. Prokaryotes have been on Earth since long before multicellular life appeared.

### Prokaryotic Diversity

The advent of DNA sequencing provided immense insight into the relationships and origins of prokaryotes that were not possible using traditional methods of classification. A major insight identified two groups of prokaryotes that were found to be as different from each other as they were from eukaryotes. This recognition of prokaryotic diversity forced a new understanding of the classification of all life and brought us closer to understanding the fundamental relationships of all living things, including ourselves.

#### Early Life on Earth

When and where did life begin? What were the conditions on Earth when life began? Prokaryotes were the first forms of life on Earth, and they existed for billions of years before plants and animals appeared. Earth is about 4.54 billion years old. This estimate is based on evidence from the dating of meteorite material, since surface rocks on Earth are not as old as Earth itself. Most rocks available on Earth have undergone geological changes that make them younger than Earth itself. Some meteorites are made of the original material in the solar disk that formed the objects of the solar system, and they have not been altered by the processes that altered rocks on Earth. Thus, the age of meteorites is a good indicator of the age of the formation of Earth. The original estimate of 4.54 billion years was obtained by Clare Patterson in 1956. His meticulous work has since been corroborated by ages determined from other sources, all of which point to an Earth age of about 4.54 billion years.

Early Earth had a very different atmosphere than it does today. Evidence indicates that during the first 2 billion years of Earth's existence, the atmosphere was **anoxic**, meaning that there was no oxygen. Therefore, only those organisms that can grow without oxygen—**anaerobic** organisms—were able to live. Organisms that convert solar energy into chemical energy are called **phototrophs**. Phototrophic organisms that required an organic source of carbon appeared within one billion years of the formation of Earth. Then, **cyanobacteria**, also known as blue-green algae, evolved from these simple phototrophs one billion years later. Cyanobacteria are able to use carbon dioxide as a source of carbon. Cyanobacteria (**Figure 13.2**) began the oxygenation of the atmosphere. The increase in oxygen concentration allowed the evolution of other life forms.

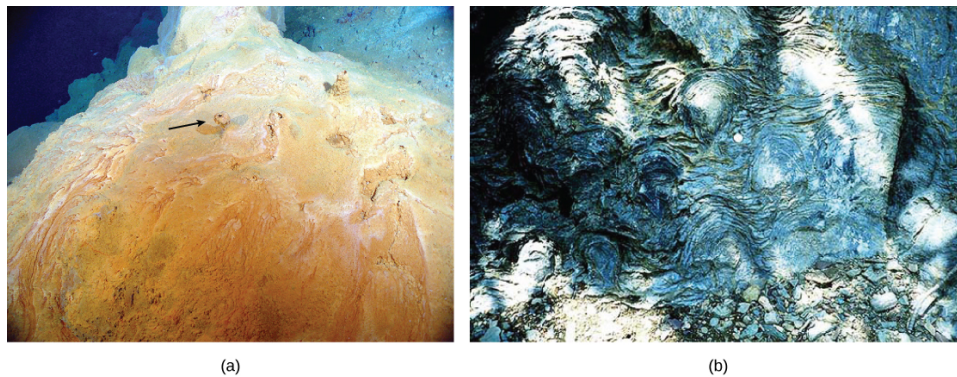


**Figure 13.2** This hot spring in Yellowstone National Park flows toward the foreground. Cyanobacteria in the spring are green, and as water flows down the heat gradient, the intensity of the color increases because cell density increases. The water is cooler at the edges of the stream than in the center, causing the edges to appear greener. (credit: Graciela Brelles-Mariño)

Before the atmosphere became oxygenated, the planet was subjected to strong radiation; thus, the first organisms would have flourished where they were more protected, such as in ocean depths or beneath the surface of Earth. At this time, too, strong volcanic activity was common on Earth, so it is likely that these first organisms—the first prokaryotes—were adapted to very high temperatures. These are not the typical temperate environments in which most life flourishes today; thus, we can conclude that the first organisms that appeared on Earth likely were able to withstand harsh conditions.

Microbial mats may represent the earliest forms of life on Earth, and there is fossil evidence of their presence, starting about 3.5 billion years ago. A **microbial mat** is a large biofilm, a multi-layered sheet of prokaryotes (**Figure 13.3a**), including mostly bacteria, but also archaea. Microbial mats are a few centimeters thick, and they typically grow on moist surfaces. Their various types of prokaryotes carry out different metabolic pathways, and for this reason, they reflect various colors. Prokaryotes in a microbial mat are held together by a gummy-like substance that they secrete.

The first microbial mats likely obtained their energy from hydrothermal vents. A **hydrothermal vent** is a fissure in Earth's surface that releases geothermally heated water. With the evolution of photosynthesis about 3 billion years ago, some prokaryotes in microbial mats came to use a more widely available energy source—sunlight—whereas others were still dependent on chemicals from hydrothermal vents for food.



**Figure 13.3** (a) This microbial mat grows over a hydrothermal vent in the Pacific Ocean. Chimneys such as the one indicated by the arrow allow gases to escape. (b) This photo shows stromatolites that are nearly 1.5 billion years old, found in Glacier National Park, Montana. (credit a: modification of work by Dr. Bob Embley, NOAA PMEL; credit b: modification of work by P. Carrara, NPS)

Fossilized microbial mats represent the earliest record of life on Earth. A **stromatolite** is a sedimentary structure formed when minerals are precipitated from water by prokaryotes in a microbial mat (**Figure 13.3b**). Stromatolites form layered rocks made of carbonate or silicate. Although most stromatolites are artifacts from the past, there are places on Earth where stromatolites are still forming. For example, living stromatolites have been found in the Anza-Borrego Desert State Park in San Diego County, California.

Some prokaryotes are able to thrive and grow under conditions that would kill a plant or animal. Bacteria and archaea that grow under extreme conditions are called **extremophiles**, meaning “lovers of extremes.” Extremophiles have been found in extreme environments of all kinds, including the depths of the oceans, hot springs, the Arctic and the Antarctic, very dry places, deep inside Earth, harsh chemical environments, and high radiation environments. Extremophiles give us a better understanding of prokaryotic diversity and open up the possibility of the discovery of new therapeutic drugs or industrial applications. They have also opened up the possibility of finding life in other places in the solar system, which have harsher environments than those typically found on Earth. Many of these extremophiles cannot survive in moderate environments.



Watch a **video** (<http://openstaxcollege.org/l/extremophiles>) showing the Director of the Planetary Science Division of NASA discussing the implications that the existence of extremophiles on Earth have on the possibility of finding life on other planets in our solar system, such as Mars.

## Biofilms

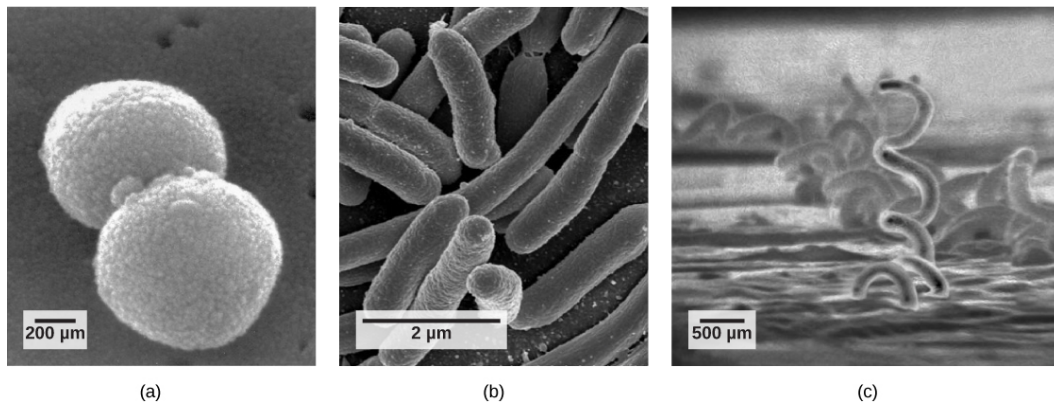
Until a couple of decades ago, microbiologists thought of prokaryotes as isolated entities living apart. This model, however, does not reflect the true ecology of prokaryotes, most of which prefer to live in communities where they can interact. A **biofilm** is a microbial community held together in a gummy-textured matrix, consisting primarily of polysaccharides secreted by the organisms, together with some proteins and nucleic acids. Biofilms grow attached to surfaces. Some of the best-studied biofilms are composed of prokaryotes, although fungal biofilms have also been described.

Biofilms are present almost everywhere. They cause the clogging of pipes and readily colonize surfaces in industrial settings. They have played roles in recent, large-scale outbreaks of bacterial contamination of food. Biofilms also colonize household surfaces, such as kitchen counters, cutting boards, sinks, and toilets.

Interactions among the organisms that populate a biofilm, together with their protective environment, make these communities more robust than are free-living, or planktonic, prokaryotes. Overall, biofilms are very difficult to destroy, because they are resistant to many of the common forms of sterilization.

## Characteristics of Prokaryotes

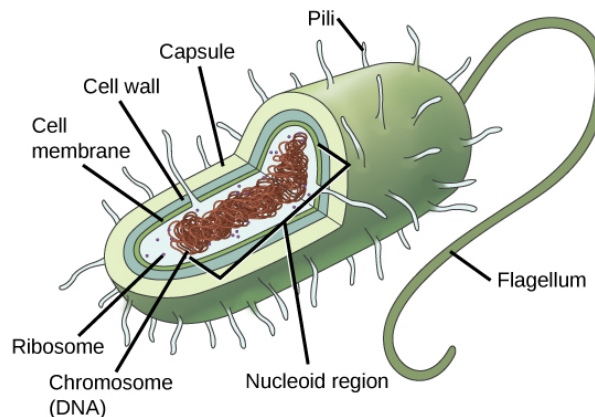
There are many differences between prokaryotic and eukaryotic cells. However, all cells have four common structures: a plasma membrane that functions as a barrier for the cell and separates the cell from its environment; cytoplasm, a jelly-like substance inside the cell; genetic material (DNA and RNA); and ribosomes, where protein synthesis takes place. Prokaryotes come in various shapes, but many fall into three categories: cocci (spherical), bacilli (rod-shaped), and spirilla (spiral-shaped) (**Figure 13.4**).



**Figure 13.4** Many prokaryotes fall into three basic categories based on their shape: (a) cocci, or spherical; (b) bacilli, or rod-shaped; and (c) spirilla, or spiral-shaped. (credit a: modification of work by Janice Haney Carr, Dr. Richard Facklam, CDC; credit c: modification of work by Dr. David Cox, CDC; scale-bar data from Matt Russell)

## The Prokaryotic Cell

Recall that prokaryotes (**Figure 13.5**) are unicellular organisms that lack organelles surrounded by membranes. Therefore, they do not have a nucleus but instead have a single chromosome—a piece of circular DNA located in an area of the cell called the nucleoid. Most prokaryotes have a cell wall lying outside the plasma membrane. The composition of the cell wall differs significantly between the domains Bacteria and Archaea (and their cell walls also differ from the eukaryotic cell walls found in plants and fungi.) The cell wall functions as a protective layer and is responsible for the organism's shape. Some other structures are present in some prokaryotic species, but not in others. For example, the **capsule** found in some species enables the organism to attach to surfaces and protects it from dehydration. Some species may also have flagella (singular, flagellum) used for locomotion, and pili (singular, pilus) used for attachment to surfaces and to other bacteria for conjugation. Plasmids, which consist of small, circular pieces of DNA outside of the main chromosome, are also present in many species of bacteria.



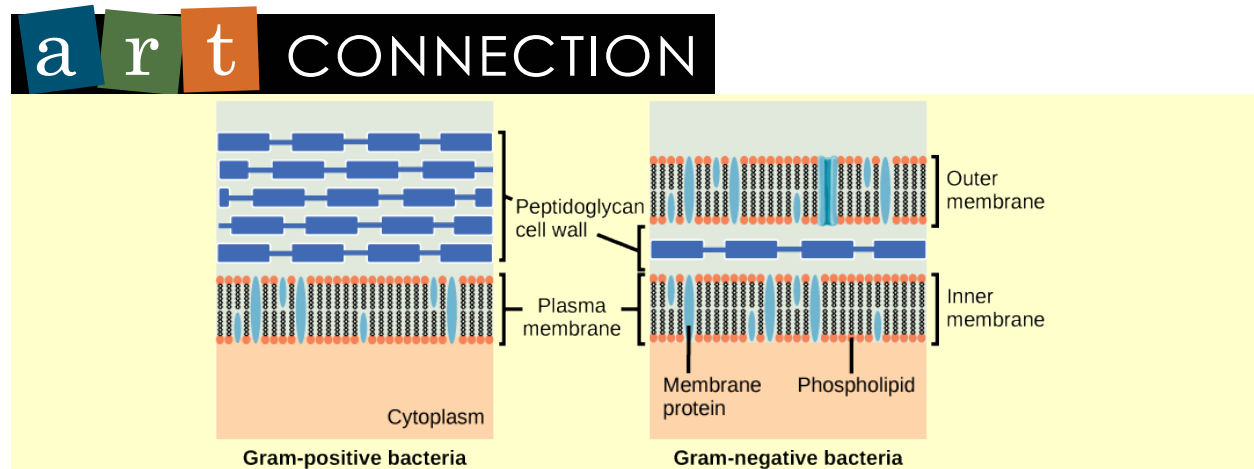
**Figure 13.5** The features of a typical bacterium cell are shown.

Both Bacteria and Archaea are types of prokaryotic cells. They differ in the lipid composition of their cell membranes and in the characteristics of their cell walls. Both types of prokaryotes have the same basic structures, but these are built from different chemical components that are evidence of an ancient separation of their lineages. The archaeal plasma membrane is chemically different from the bacterial membrane; some archaeal membranes are lipid monolayers instead of phospholipid bilayers.

### The Cell Wall

The cell wall is a protective layer that surrounds some prokaryotic cells and gives them shape and rigidity. It is located outside the cell membrane and prevents osmotic lysis (bursting caused by increasing volume). The chemical compositions of the cell walls vary between Archaea and Bacteria, as well as between bacterial species. Bacterial cell walls contain **peptidoglycan**, composed of polysaccharide chains cross-linked to peptides. Bacteria are divided into two major groups: **Gram-positive** and **Gram-negative**, based on their reaction to a procedure called Gram staining. The different bacterial responses to the staining procedure are caused by cell wall structure. Gram-positive organisms have a thick wall consisting

of many layers of peptidoglycan. Gram-negative bacteria have a thinner cell wall composed of a few layers of peptidoglycan and additional structures, surrounded by an outer membrane (Figure 13.6).



**Figure 13.6** Bacteria are divided into two major groups: Gram-positive and Gram-negative. Both groups have a cell wall composed of peptidoglycans: In Gram-positive bacteria, the wall is thick, whereas in Gram-negative bacteria, the wall is thin. In Gram-negative bacteria, the cell wall is surrounded by an outer membrane.

Which of the following statements is true?

- Gram-positive bacteria have a single cell wall formed from peptidoglycan.
- Gram-positive bacteria have an outer membrane.
- The cell wall of Gram-negative bacteria is thick, and the cell wall of Gram-positive bacteria is thin.
- Gram-negative bacteria have a cell wall made of peptidoglycan, while Gram-positive bacteria have a cell wall made of phospholipids.

Archaeal cell walls do not contain peptidoglycan. There are four different types of archaeal cell walls. One type is composed of **pseudopeptidoglycan**. The other three types of cell walls contain polysaccharides, glycoproteins, and surface-layer proteins known as S-layers.

## Reproduction

Reproduction in prokaryotes is primarily asexual and takes place by binary fission. Recall that the DNA of a prokaryote exists usually as a single, circular chromosome. Prokaryotes do not undergo mitosis. Rather, the chromosome loop is replicated, and the two resulting copies attached to the plasma membrane move apart as the cell grows in a process called binary fission. The prokaryote, now enlarged, is pinched inward at its equator, and the two resulting cells, which are clones, separate. Binary fission does not provide an opportunity for genetic recombination, but prokaryotes can alter their genetic makeup in three ways.

In a process called **transformation**, the cell takes in DNA found in its environment that is shed by other prokaryotes, alive or dead. A **pathogen** is an organism that causes a disease. If a nonpathogenic bacterium takes up DNA from a pathogen and incorporates the new DNA in its own chromosome, it too may become pathogenic. In **transduction**, bacteriophages, the viruses that infect bacteria, move DNA from one bacterium to another. Archaea have a different set of viruses that infect them and translocate genetic material from one individual to another. During **conjugation**, DNA is transferred from one prokaryote to another by means of a pilus that brings the organisms into contact with one another. The DNA transferred is usually a plasmid, but parts of the chromosome can also be moved.

Cycles of binary fission can be very rapid, on the order of minutes for some species. This short generation time coupled with mechanisms of genetic recombination result in the rapid evolution of prokaryotes, allowing them to respond to environmental changes (such as the introduction of an antibiotic) very quickly.

### How Prokaryotes Obtain Energy and Carbon

Prokaryotes are metabolically diverse organisms. Prokaryotes fill many niches on Earth, including being involved in nutrient cycles such as the nitrogen and carbon cycles, decomposing dead organisms, and growing and multiplying inside living organisms, including humans. Different prokaryotes can use different sources of energy to assemble macromolecules

from smaller molecules. Phototrophs obtain their energy from sunlight. Chemotrophs obtain their energy from chemical compounds.

## Bacterial Diseases in Humans

Devastating pathogen-borne diseases and plagues, both viral and bacterial in nature, have affected and continue to affect humans. It is worth noting that all pathogenic prokaryotes are Bacteria; there are no known pathogenic Archaea in humans or any other organism. Pathogenic organisms evolved alongside humans. In the past, the true cause of these diseases was not understood, and some cultures thought that diseases were a spiritual punishment or were mistaken about material causes. Over time, people came to realize that staying apart from afflicted persons, improving sanitation, and properly disposing of the corpses and personal belongings of victims of illness reduced their own chances of getting sick.

## Historical Perspective

There are records of infectious diseases as far back as 3,000 B.C. A number of significant **pandemics** caused by Bacteria have been documented over several hundred years. Some of the largest pandemics led to the decline of cities and cultures. Many were zoonoses that appeared with the domestication of animals, as in the case of tuberculosis. A zoonosis is a disease that infects animals but can be transmitted from animals to humans.

Infectious diseases remain among the leading causes of death worldwide. Their impact is less significant in many developed countries, but they are important determiners of mortality in developing countries. The development of antibiotics did much to lessen the mortality rates from bacterial infections, but access to antibiotics is not universal, and the overuse of antibiotics has led to the development of resistant strains of bacteria. Public sanitation efforts that dispose of sewage and provide clean drinking water have done as much or more than medical advances to prevent deaths caused by bacterial infections.

In 430 B.C., the plague of Athens killed one-quarter of the Athenian troops that were fighting in the Great Peloponnesian War. The disease killed a quarter of the population of Athens in over 4 years and weakened Athens' dominance and power. The source of the plague may have been identified recently when researchers from the University of Athens were able to analyze DNA from teeth recovered from a mass grave.<sup>[1]</sup> The scientists identified nucleotide sequences from a pathogenic bacterium that causes typhoid fever.

From 541 to 750 A.D., an outbreak called the plague of Justinian (likely a bubonic plague) eliminated, by some estimates, one-quarter to one-half of the human population. The population in Europe declined by 50 percent during this outbreak. Bubonic plague would decimate Europe more than once.

One of the most devastating pandemics was the **Black Death** (1346 to 1361), which is believed to have been another outbreak of bubonic plague caused by the bacterium *Yersinia pestis*. This bacterium is carried by fleas living on black rats. The Black Death reduced the world's population from an estimated 450 million to about 350 to 375 million. Bubonic plague struck London hard again in the mid-1600s. There are still approximately 1,000 to 3,000 cases of plague globally each year. Although contracting bubonic plague before antibiotics meant almost certain death, the bacterium responds to several types of modern antibiotics, and mortality rates from plague are now very low.



Watch a **video** ([http://openstaxcollege.org/l/black\\_death2](http://openstaxcollege.org/l/black_death2)) on the modern understanding of the Black Death (bubonic plague) in Europe during the fourteenth century.

Over the centuries, Europeans developed resistance to many infectious diseases. However, European conquerors brought disease-causing bacteria and viruses with them when they reached the Western hemisphere, triggering **epidemics** that completely devastated populations of Native Americans (who had no natural resistance to many European diseases).

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1. Papagrigorakis M. J., Synodinos P. N., Yapijakis C, "Ancient typhoid epidemic reveals possible ancestral strain of *Salmonella enterica* serovar Typhi, *Infect Genet Evol* 7 (2007): 126-7.

## The Antibiotic Crisis

The word antibiotic comes from the Greek *anti*, meaning “against,” and *bios*, meaning “life.” An antibiotic is an organism-produced chemical that is hostile to the growth of other organisms. Today’s news and media often address concerns about an antibiotic crisis. Are antibiotics that were used to treat bacterial infections easily treatable in the past becoming obsolete? Are there new “superbugs”—bacteria that have evolved to become more resistant to our arsenal of antibiotics? Is this the beginning of the end of antibiotics? All of these questions challenge the healthcare community.

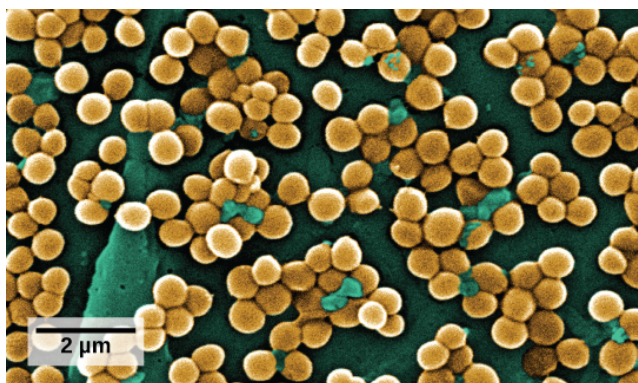
One of the main reasons for resistant bacteria is the overuse and incorrect use of antibiotics, such as not completing a full course of prescribed antibiotics. The incorrect use of an antibiotic results in the natural selection of resistant forms of bacteria. The antibiotic kills most of the infecting bacteria, and therefore only the resistant forms remain. These resistant forms reproduce, resulting in an increase in the proportion of resistant forms over non-resistant ones.

Another problem is the excessive use of antibiotics in livestock. The routine use of antibiotics in animal feed promotes bacterial resistance as well. In the United States, 70 percent of the antibiotics produced are fed to animals. The antibiotics are not used to prevent disease, but to enhance production of their products.



Watch a recent **news** (<http://openstaxcollege.org/l/antibiotics2>) report on the problem of routine antibiotic administration to livestock and antibiotic-resistant bacteria.

*Staphylococcus aureus*, often called “staph,” is a common bacterium that can live in and on the human body, which usually is easily treatable with antibiotics. A very dangerous strain, however, has made the news over the past few years (**Figure 13.7**). This strain, **methicillin-resistant *Staphylococcus aureus* (MRSA)**, is resistant to many commonly used antibiotics, including methicillin, amoxicillin, penicillin, and oxacillin. While MRSA infections have been common among people in healthcare facilities, it is appearing more commonly in healthy people who live or work in dense groups (like military personnel and prisoners). The *Journal of the American Medical Association* reported that, among MRSA-afflicted persons in healthcare facilities, the average age is 68 years, while people with “community-associated MRSA” (CA-MRSA) have an average age of 23 years.<sup>[2]</sup>



**Figure 13.7** This scanning electron micrograph shows methicillin-resistant *Staphylococcus aureus* bacteria, commonly known as MRSA. (credit: modification of work by Janice Haney Carr, CDC; scale-bar data from Matt Russell)

In summary, society is facing an antibiotic crisis. Some scientists believe that after years of being protected from bacterial infections by antibiotics, we may be returning to a time in which a simple bacterial infection could again devastate the human population. Researchers are working on developing new antibiotics, but few are in the drug development pipeline, and it takes many years to generate an effective and approved drug.

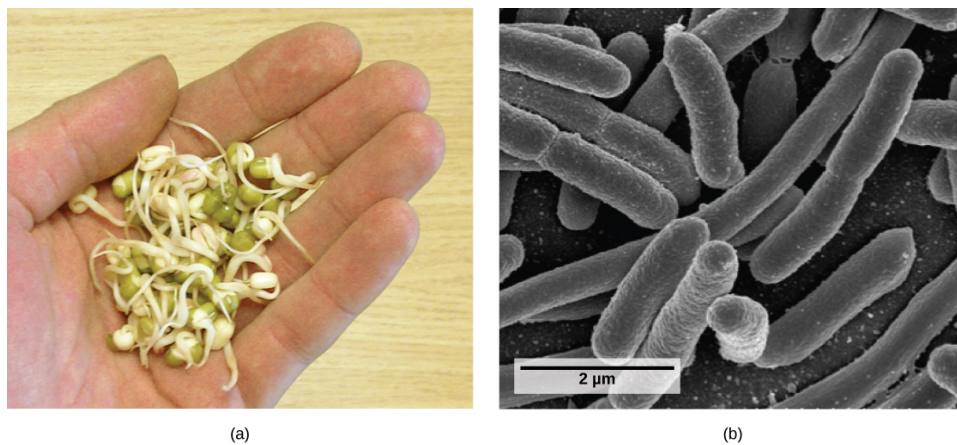
2. Naimi, T. S., LeDell, K. H., Como-Sabetti, K., et al., “Comparison of community- and health care-associated methicillin-resistant *Staphylococcus aureus* infection,” *JAMA* 290 (2003): 2976-2984, doi: 10.1001/jama.290.22.2976.

## Foodborne Diseases

Prokaryotes are everywhere: They readily colonize the surface of any type of material, and food is not an exception. Outbreaks of bacterial infection related to food consumption are common. A **foodborne disease** (colloquially called “food poisoning”) is an illness resulting from the consumption of food contaminated with pathogenic bacteria, viruses, or other parasites. Although the United States has one of the safest food supplies in the world, the Center for Disease Control and Prevention (CDC) has reported that “76 million people get sick, more than 300,000 are hospitalized, and 5,000 Americans die each year from foodborne illness.”<sup>[3]</sup>

The characteristics of foodborne illnesses have changed over time. In the past, it was relatively common to hear about sporadic cases of **botulism**, the potentially fatal disease produced by a toxin from the anaerobic bacterium *Clostridium botulinum*. A can, jar, or package created a suitable anaerobic environment where *Clostridium* could grow. Proper sterilization and canning procedures have reduced the incidence of this disease.

Most cases of foodborne illnesses are now linked to produce contaminated by animal waste. For example, there have been serious, produce-related outbreaks associated with raw spinach in the United States and with vegetable sprouts in Germany (**Figure 13.8**). The raw spinach outbreak in 2006 was produced by the bacterium *E. coli* strain O157:H7. Most *E. coli* strains are not particularly dangerous to humans, (indeed, they live in our large intestine), but O157:H7 is potentially fatal.



**Figure 13.8** (a) Locally grown vegetable sprouts were the cause of a European *E. coli* outbreak that killed 31 people and sickened about 3,000 in 2010. (b) *Escherichia coli* are shown here in a scanning electron micrograph. The strain of *E. coli* that caused a deadly outbreak in Germany is a new one not involved in any previous *E. coli* outbreaks. It has acquired several antibiotic resistance genes and specific genetic sequences involved in aggregation ability and virulence. It has recently been sequenced. (credit b: Rocky Mountain Laboratories, NIAID, NIH; scale-bar data from Matt Russell)

All types of food can potentially be contaminated with harmful bacteria of different species. Recent outbreaks of *Salmonella* reported by the CDC occurred in foods as diverse as peanut butter, alfalfa sprouts, and eggs.

3. <http://www.cdc.gov/ecoli/2006/september>, Centers for Disease Control and Prevention, “Multi-state outbreak of *E. coli* O157:H7 infections from spinach,” September-October (2006).

## careers IN ACTION

### Epidemiologist

Epidemiology is the study of the occurrence, distribution, and determinants of health and disease in a population. It is, therefore, related to public health. An epidemiologist studies the frequency and distribution of diseases within human populations and environments.

Epidemiologists collect data about a particular disease and track its spread to identify the original mode of transmission. They sometimes work in close collaboration with historians to try to understand the way a disease evolved geographically and over time, tracking the natural history of pathogens. They gather information from clinical records, patient interviews, and any other available means. That information is used to develop strategies and design public health policies to reduce the incidence of a disease or to prevent its spread. Epidemiologists also conduct rapid investigations in case of an outbreak to recommend immediate measures to control it.

Epidemiologists typically have a graduate-level education. An epidemiologist often has a bachelor's degree in some field and a master's degree in public health (MPH). Many epidemiologists are also physicians (and have an MD) or they have a PhD in an associated field, such as biology or epidemiology.

### Beneficial Prokaryotes

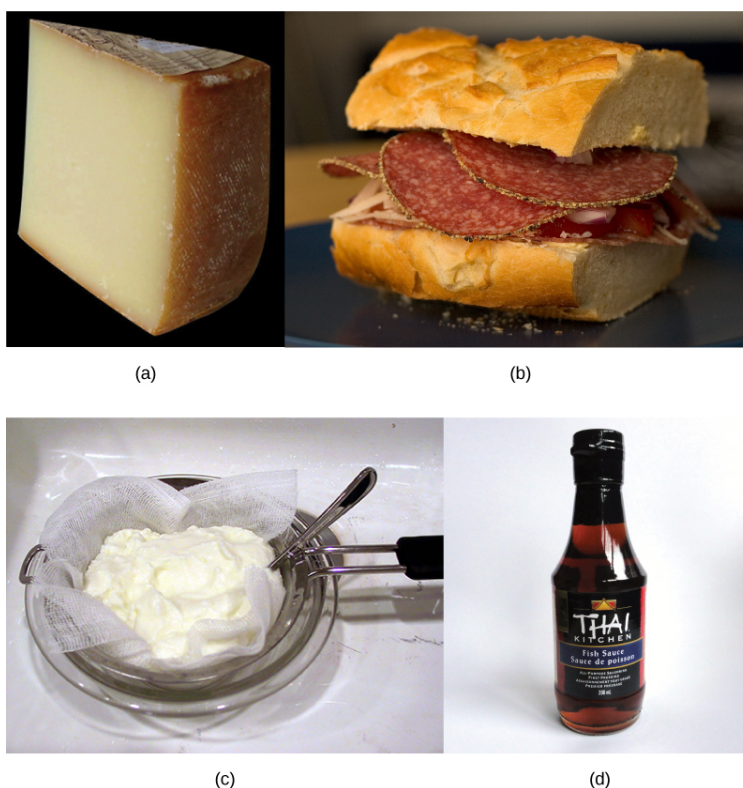
Not all prokaryotes are pathogenic. On the contrary, pathogens represent only a very small percentage of the diversity of the microbial world. In fact, our life and all life on this planet would not be possible without prokaryotes.

### Prokaryotes, and Food and Beverages

According to the United Nations Convention on Biological Diversity, biotechnology is “any technological application that uses biological systems, living organisms, or derivatives thereof, to make or modify products or processes for specific use.”<sup>[4]</sup> The concept of “specific use” involves some sort of commercial application. Genetic engineering, artificial selection, antibiotic production, and cell culture are current topics of study in biotechnology. However, humans have used prokaryotes to create products before the term biotechnology was even coined. And some of the goods and services are as simple as cheese, yogurt, sour cream, vinegar, cured sausage, sauerkraut, and fermented seafood that contains both bacteria and archaea (**Figure 13.9**).

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4. <http://www.cbd.int/convention/articles/?a=cbd-02><http://www.cbd.int/convention/articles/?a=cbd-02>, United Nations Convention on Biological Diversity, “Article 2: Use of Terms.”



**Figure 13.9** Some of the products derived from the use of prokaryotes in early biotechnology include (a) cheese, (b) salami, (c) yogurt, and (d) fish sauce. (credit b: modification of work by Alisdair McDiarmid; credit c: modification of work by Kris Miller; credit d: modification of work by Jane Whitney)

Cheese production began around 4,000 years ago when humans started to breed animals and process their milk. Evidence suggests that cultured milk products, like yogurt, have existed for at least 4,000 years.

## Using Prokaryotes to Clean up Our Planet: Bioremediation

Microbial **bioremediation** is the use of prokaryotes (or microbial metabolism) to remove pollutants. Bioremediation has been used to remove agricultural chemicals (pesticides and fertilizers) that leach from soil into groundwater. Certain toxic metals, such as selenium and arsenic compounds, can also be removed from water by bioremediation. The reduction of  $\text{SeO}_4^{2-}$  to  $\text{SeO}_3^{2-}$  and to  $\text{Se}^0$  (metallic selenium) is a method used to remove selenium ions from water. Mercury is an example of a toxic metal that can be removed from an environment by bioremediation. Mercury is an active ingredient of some pesticides; it is used in industry and is also a byproduct of certain industries, such as battery production. Mercury is usually present in very low concentrations in natural environments but it is highly toxic because it accumulates in living tissues. Several species of bacteria can carry out the biotransformation of toxic mercury into nontoxic forms. These bacteria, such as *Pseudomonas aeruginosa*, can convert  $\text{Hg}^{2+}$  to  $\text{Hg}^0$ , which is nontoxic to humans.

Probably one of the most useful and interesting examples of the use of prokaryotes for bioremediation purposes is the cleanup of oil spills. The importance of prokaryotes to petroleum bioremediation has been demonstrated in several oil spills in recent years, such as the Exxon Valdez spill in Alaska (1989) (**Figure 13.10**), the Prestige oil spill in Spain (2002), the spill into the Mediterranean from a Lebanon power plant (2006,) and more recently, the BP oil spill in the Gulf of Mexico (2010). To clean up these spills, bioremediation is promoted by adding inorganic nutrients that help bacteria already present in the environment to grow. Hydrocarbon-degrading bacteria feed on the hydrocarbons in the oil droplet, breaking them into inorganic compounds. Some species, such as *Alcanivorax borkumensis*, produce surfactants that solubilize the oil, while other bacteria degrade the oil into carbon dioxide. In the case of oil spills in the ocean, ongoing, natural bioremediation tends to occur, inasmuch as there are oil-consuming bacteria in the ocean prior to the spill. Under ideal conditions, it has been reported that up to 80 percent of the nonvolatile components in oil can be degraded within 1 year of the spill. Other oil fractions containing aromatic and highly branched hydrocarbon chains are more difficult to remove and remain in the environment for longer periods of time. Researchers have genetically engineered other bacteria to consume petroleum products; indeed, the first patent application for a bioremediation application in the U.S. was for a genetically modified oil-eating bacterium.



**Figure 13.10** (a) Cleaning up oil after the Valdez spill in Alaska, the workers hosed oil from beaches and then used a floating boom to corral the oil, which was finally skimmed from the water surface. Some species of bacteria are able to solubilize and degrade the oil. (b) One of the most catastrophic consequences of oil spills is the damage to fauna. (credit a: modification of work by NOAA; credit b: modification of work by GOLUBENKOV, NGO: Saving Taman)

### Prokaryotes in and on the Body

Humans are no exception when it comes to forming symbiotic relationships with prokaryotes. We are accustomed to thinking of ourselves as single organisms, but in reality, we are walking ecosystems. There are 10 to 100 times as many bacterial and archaeal cells inhabiting our bodies as we have cells in our bodies. Some of these are in mutually beneficial relationships with us, in which both the human host and the bacterium benefit, while some of the relationships are classified as **commensalism**, a type of relationship in which the bacterium benefits and the human host is neither benefited nor harmed.

Human gut flora lives in the large intestine and consists of hundreds of species of bacteria and archaea, with different individuals containing different species mixes. The term “flora,” which is usually associated with plants, is traditionally used in this context because bacteria were once classified as plants. The primary functions of these prokaryotes for humans appear to be metabolism of food molecules that we cannot break down, assistance with the absorption of ions by the colon, synthesis of vitamin K, training of the infant immune system, maintenance of the adult immune system, maintenance of the epithelium of the large intestine, and formation of a protective barrier against pathogens.

The surface of the skin is also coated with prokaryotes. The different surfaces of the skin, such as the underarms, the head, and the hands, provide different habitats for different communities of prokaryotes. Unlike with gut flora, the possible beneficial roles of skin flora have not been well studied. However, the few studies conducted so far have identified bacteria that produce antimicrobial compounds as probably responsible for preventing infections by pathogenic bacteria.

Researchers are actively studying the relationships between various diseases and alterations to the composition of human microbial flora. Some of this work is being carried out by the Human Microbiome Project, funded in the United States by the National Institutes of Health.

## 13.2 | Eukaryotic Origins

By the end of this section, you will be able to:

- Describe the endosymbiotic theory
- Explain the origin of mitochondria and chloroplasts

The fossil record and genetic evidence suggest that prokaryotic cells were the first organisms on Earth. These cells originated approximately 3.5 billion years ago, which was about 1 billion years after Earth’s formation, and were the only life forms on the planet until eukaryotic cells emerged approximately 2.1 billion years ago. During the prokaryotic reign, photosynthetic prokaryotes evolved that were capable of applying the energy from sunlight to synthesize organic materials (like carbohydrates) from carbon dioxide and an electron source (such as hydrogen, hydrogen sulfide, or water).

Photosynthesis using water as an electron donor consumes carbon dioxide and releases molecular oxygen ( $O_2$ ) as a byproduct. The functioning of photosynthetic bacteria over millions of years progressively saturated Earth’s water with oxygen and then oxygenated the atmosphere, which previously contained much greater concentrations of carbon dioxide and much lower concentrations of oxygen. Older anaerobic prokaryotes of the era could not function in their new, aerobic environment. Some species perished, while others survived in the remaining anaerobic environments left on Earth. Still other early prokaryotes evolved mechanisms, such as aerobic respiration, to exploit the oxygenated atmosphere by using

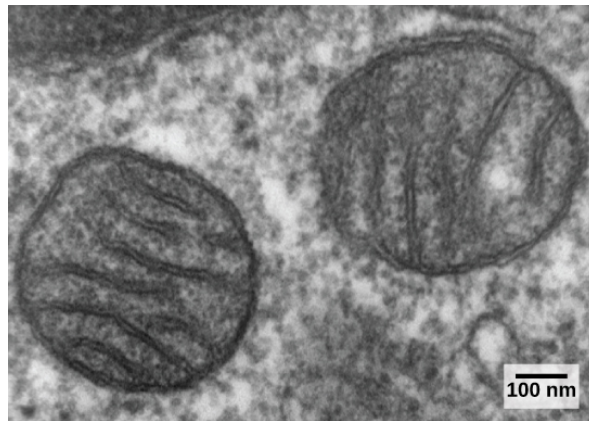
oxygen to store energy contained within organic molecules. Aerobic respiration is a more efficient way of obtaining energy from organic molecules, which contributed to the success of these species (as evidenced by the number and diversity of aerobic organisms living on Earth today). The evolution of aerobic prokaryotes was an important step toward the evolution of the first eukaryote, but several other distinguishing features had to evolve as well.

## Endosymbiosis

The origin of eukaryotic cells was largely a mystery until a revolutionary hypothesis was comprehensively examined in the 1960s by Lynn Margulis. The **endosymbiotic theory** states that eukaryotes are a product of one prokaryotic cell engulfing another, one living within another, and evolving together over time until the separate cells were no longer recognizable as such. This once-revolutionary hypothesis had immediate persuasiveness and is now widely accepted, with work progressing on uncovering the steps involved in this evolutionary process as well as the key players. It has become clear that many nuclear eukaryotic genes and the molecular machinery responsible for replicating and expressing those genes appear closely related to the Archaea. On the other hand, the metabolic organelles and the genes responsible for many energy-harvesting processes had their origins in bacteria. Much remains to be clarified about how this relationship occurred; this continues to be an exciting field of discovery in biology. Several endosymbiotic events likely contributed to the origin of the eukaryotic cell.

### Mitochondria

Eukaryotic cells may contain anywhere from one to several thousand mitochondria, depending on the cell's level of energy consumption. Each mitochondrion measures 1 to 10 micrometers in length and exists in the cell as a moving, fusing, and dividing oblong spheroid (**Figure 13.11**). However, mitochondria cannot survive outside the cell. As the atmosphere was oxygenated by photosynthesis, and as successful aerobic prokaryotes evolved, evidence suggests that an ancestral cell engulfed and kept alive a free-living, aerobic prokaryote. This gave the host cell the ability to use oxygen to release energy stored in nutrients. Several lines of evidence support that mitochondria are derived from this endosymbiotic event. Mitochondria are shaped like a specific group of bacteria and are surrounded by two membranes, which would result when one membrane-bound organism was engulfed by another membrane-bound organism. The mitochondrial inner membrane involves substantial infoldings or cristae that resemble the textured outer surface of certain bacteria.



**Figure 13.11** In this transmission electron micrograph of mitochondria in a mammalian lung cell, the cristae, infoldings of the mitochondrial inner membrane, can be seen in cross-section. (credit: modification of work by Louisa Howard; scale-bar data from Matt Russell)

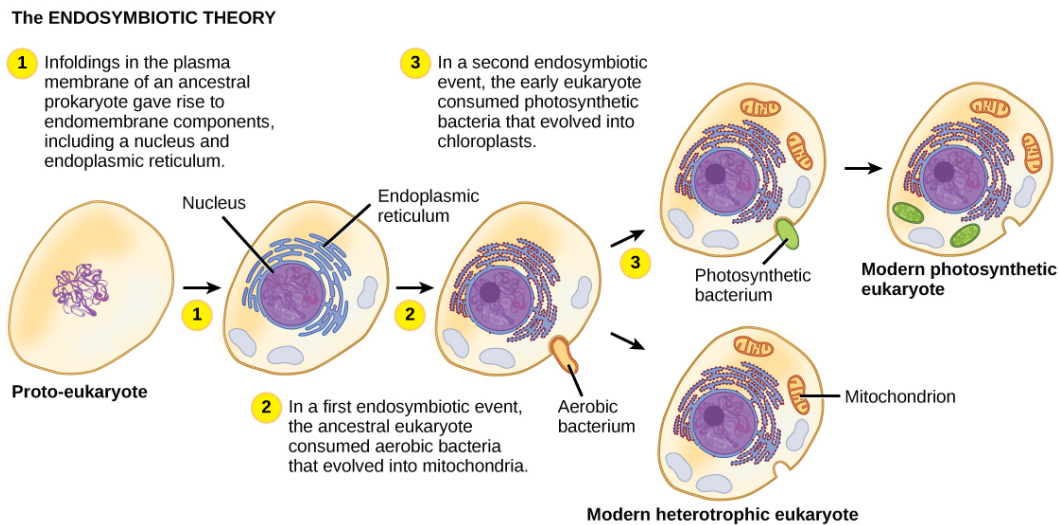
Mitochondria divide on their own by a process that resembles binary fission in prokaryotes. Mitochondria have their own circular DNA chromosome that carries genes similar to those expressed by bacteria. Mitochondria also have special ribosomes and transfer RNAs that resemble these components in prokaryotes. These features all support that mitochondria were once free-living prokaryotes.

### Chloroplasts

Chloroplasts are one type of **plastid**, a group of related organelles in plant cells that are involved in the storage of starches, fats, proteins, and pigments. Chloroplasts contain the green pigment chlorophyll and play a role in photosynthesis. Genetic and morphological studies suggest that plastids evolved from the endosymbiosis of an ancestral cell that engulfed a photosynthetic cyanobacterium. Plastids are similar in size and shape to cyanobacteria and are enveloped by two or more membranes, corresponding to the inner and outer membranes of cyanobacteria. Like mitochondria, plastids also contain circular genomes and divide by a process reminiscent of prokaryotic cell division. The chloroplasts of red and green algae

exhibit DNA sequences that are closely related to photosynthetic cyanobacteria, suggesting that red and green algae are direct descendants of this endosymbiotic event.

Mitochondria likely evolved before plastids because all eukaryotes have either functional mitochondria or mitochondria-like organelles. In contrast, plastids are only found in a subset of eukaryotes, such as terrestrial plants and algae. One hypothesis of the evolutionary steps leading to the first eukaryote is summarized in **Figure 13.12**.



**Figure 13.12** The first eukaryote may have originated from an ancestral prokaryote that had undergone membrane proliferation, compartmentalization of cellular function (into a nucleus, lysosomes, and an endoplasmic reticulum), and the establishment of endosymbiotic relationships with an aerobic prokaryote and, in some cases, a photosynthetic prokaryote to form mitochondria and chloroplasts, respectively.

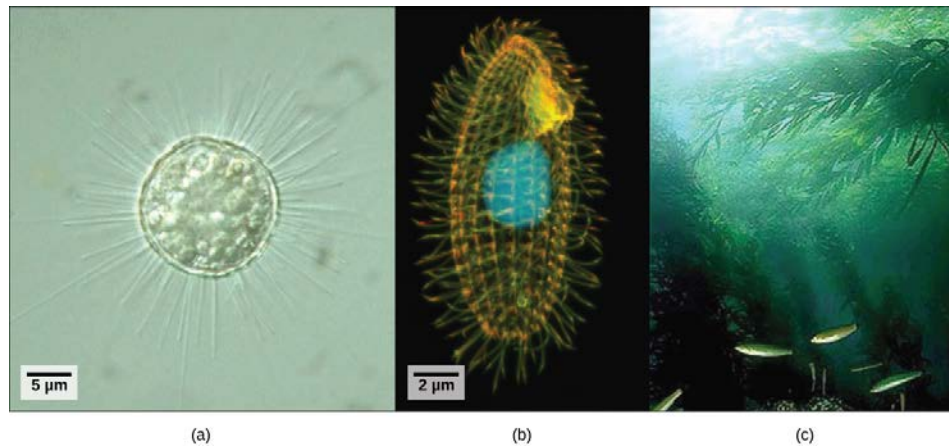
The exact steps leading to the first eukaryotic cell can only be hypothesized, and some controversy exists regarding which events actually took place and in what order. Spirochete bacteria have been hypothesized to have given rise to microtubules, and a flagellated prokaryote may have contributed the raw materials for eukaryotic flagella and cilia. Other scientists suggest that membrane proliferation and compartmentalization, not endosymbiotic events, led to the development of mitochondria and plastids. However, the vast majority of studies support the endosymbiotic hypothesis of eukaryotic evolution.

The early eukaryotes were unicellular like most protists are today, but as eukaryotes became more complex, the evolution of multicellularity allowed cells to remain small while still exhibiting specialized functions. The ancestors of today's multicellular eukaryotes are thought to have evolved about 1.5 billion years ago.

## 13.3 | Protists

By the end of this section, you will be able to:

- Describe the main characteristics of protists
- Describe important pathogenic species of protists
- Describe the roles of protists as food sources and as decomposers



**Figure 13.13** Protists range from the microscopic, single-celled (a) *Acanthocystis turfacea* and the (b) ciliate *Tetrahymena thermophila* to the enormous, multicellular (c) kelps (Chromalveolata) that extend for hundreds of feet in underwater “forests.” (credit a: modification of work by Yuiuiji Tsukii; credit b: modification of work by Richard Robinson, Public Library of Science; credit c: modification of work by Kip Evans, NOAA; scale-bar data from Matt Russell)

Eukaryotic organisms that did not fit the criteria for the kingdoms Animalia, Fungi, or Plantae historically were called protists and were classified into the kingdom Protista. Protists include the single-celled eukaryotes living in pond water (Figure 13.13), although protist species live in a variety of other aquatic and terrestrial environments, and occupy many different niches. Not all protists are microscopic and single-celled; there exist some very large multicellular species, such as the kelps. During the past two decades, the field of molecular genetics has demonstrated that some protists are more related to animals, plants, or fungi than they are to other protists. For this reason, protist lineages originally classified into the kingdom Protista have been reassigned into new kingdoms or other existing kingdoms. The evolutionary lineages of the protists continue to be examined and debated. In the meantime, the term “protist” still is used informally to describe this tremendously diverse group of eukaryotes. As a collective group, protists display an astounding diversity of morphologies, physiologies, and ecologies.

## Characteristics of Protists

There are over 100,000 described living species of protists, and it is unclear how many undescribed species may exist. Since many protists live in symbiotic relationships with other organisms and these relationships are often species specific, there is a huge potential for undescribed protist diversity that matches the diversity of the hosts. As the catchall term for eukaryotic organisms that are not animals, plants, fungi, or any single phylogenetically related group, it is not surprising that few characteristics are common to all protists.

Nearly all protists exist in some type of aquatic environment, including freshwater and marine environments, damp soil, and even snow. Several protist species are **parasites** that infect animals or plants. A parasite is an organism that lives on or in another organism and feeds on it, often without killing it. A few protist species live on dead organisms or their wastes, and contribute to their decay.

### Protist Structure

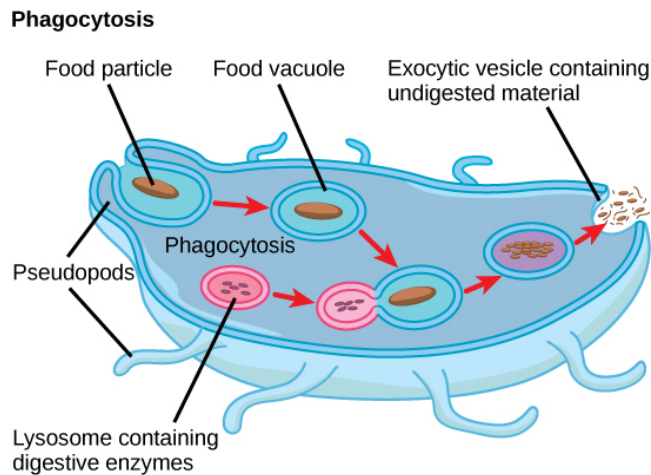
The cells of protists are among the most elaborate of all cells. Most protists are microscopic and unicellular, but some true multicellular forms exist. A few protists live as colonies that behave in some ways as a group of free-living cells and in other ways as a multicellular organism. Still other protists are composed of enormous, multinucleate, single cells that look like amorphous blobs of slime or, in other cases, like ferns. In fact, many protist cells are multinucleated; in some species, the nuclei are different sizes and have distinct roles in protist cell function.

Single protist cells range in size from less than a micrometer to the 3-meter lengths of the multinucleate cells of the seaweed *Caulerpa*. Protist cells may be enveloped by animal-like cell membranes or plant-like cell walls. Others are encased in glassy silica-based shells or wound with **pellicles** of interlocking protein strips. The pellicle functions like a flexible coat of armor, preventing the protist from being torn or pierced without compromising its range of motion.

The majority of protists are motile, but different types of protists have evolved varied modes of movement. Some protists have one or more flagella, which they rotate or whip. Others are covered in rows or tufts of tiny cilia that they beat in coordination to swim. Still others send out lobe-like pseudopodia from anywhere on the cell, anchor the pseudopodium to a substrate, and pull the rest of the cell toward the anchor point. Some protists can move toward light by coupling their locomotion strategy with a light-sensing organ.

## How Protists Obtain Energy

Protists exhibit many forms of nutrition and may be aerobic or anaerobic. Photosynthetic protists (photoautotrophs) are characterized by the presence of chloroplasts. Other protists are heterotrophs and consume organic materials (such as other organisms) to obtain nutrition. Amoebas and some other heterotrophic protist species ingest particles by a process called phagocytosis, in which the cell membrane engulfs a food particle and brings it inward, pinching off an intracellular membranous sac, or vesicle, called a food vacuole (**Figure 13.14**). This vesicle then fuses with a lysosome, and the food particle is broken down into small molecules that can diffuse into the cytoplasm and be used in cellular metabolism. Undigested remains ultimately are expelled from the cell through exocytosis.



**Figure 13.14** The stages of phagocytosis include the engulfment of a food particle, the digestion of the particle using hydrolytic enzymes contained within a lysosome, and the expulsion of undigested material from the cell.

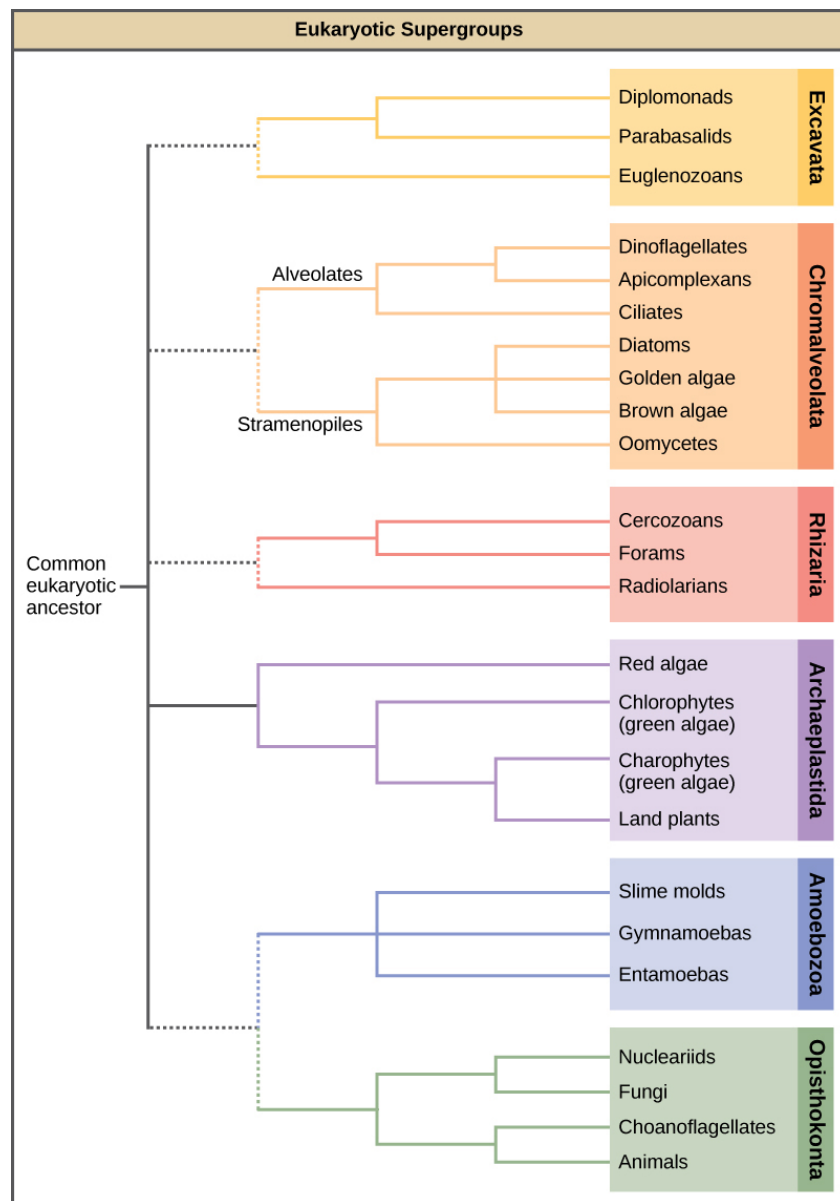
Some heterotrophs absorb nutrients from dead organisms or their organic wastes, and others are able to use photosynthesis or feed on organic matter, depending on conditions.

## Reproduction

Protists reproduce by a variety of mechanisms. Most are capable some form of asexual reproduction, such as binary fission to produce two daughter cells, or multiple fission to divide simultaneously into many daughter cells. Others produce tiny buds that go on to divide and grow to the size of the parental protist. Sexual reproduction, involving meiosis and fertilization, is common among protists, and many protist species can switch from asexual to sexual reproduction when necessary. Sexual reproduction is often associated with periods when nutrients are depleted or environmental changes occur. Sexual reproduction may allow the protist to recombine genes and produce new variations of progeny that may be better suited to surviving in the new environment. However, sexual reproduction is also often associated with cysts that are a protective, resting stage. Depending on their habitat, the cysts may be particularly resistant to temperature extremes, desiccation, or low pH. This strategy also allows certain protists to “wait out” stressors until their environment becomes more favorable for survival or until they are carried (such as by wind, water, or transport on a larger organism) to a different environment because cysts exhibit virtually no cellular metabolism.

## Protist Diversity

With the advent of DNA sequencing, the relationships among protist groups and between protist groups and other eukaryotes are beginning to become clearer. Many relationships that were based on morphological similarities are being replaced by new relationships based on genetic similarities. Protists that exhibit similar morphological features may have evolved analogous structures because of similar selective pressures—rather than because of recent common ancestry. This phenomenon is called convergent evolution. It is one reason why protist classification is so challenging. The emerging classification scheme groups the entire domain Eukaryota into six “supergroups” that contain all of the protists as well as animals, plants, and fungi (**Figure 13.15**); these include the **Excavata**, **Chromalveolata**, **Rhizaria**, **Archaeplastida**, **Amoebozoa**, and **Opisthokonta**. The supergroups are believed to be monophyletic; all organisms within each supergroup are believed to have evolved from a single common ancestor, and thus all members are most closely related to each other than to organisms outside that group. There is still evidence lacking for the monophyly of some groups.



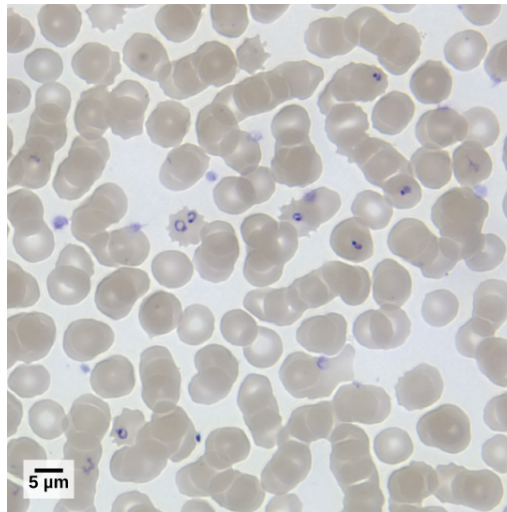
**Figure 13.15** Protists appear in all six eukaryotic supergroups.

## Human Pathogens

Many protists are pathogenic parasites that must infect other organisms to survive and propagate. Protist parasites include the causative agents of malaria, African sleeping sickness, and waterborne gastroenteritis in humans. Other protist pathogens prey on plants, effecting massive destruction of food crops.

### *Plasmodium* Species

Members of the genus *Plasmodium* must infect a mosquito and a vertebrate to complete their life cycle. In vertebrates, the parasite develops in liver cells and goes on to infect red blood cells, bursting from and destroying the blood cells with each asexual replication cycle (**Figure 13.16**). Of the four *Plasmodium* species known to infect humans, *P. falciparum* accounts for 50 percent of all malaria cases and is the primary cause of disease-related fatalities in tropical regions of the world. In 2010, it was estimated that malaria caused between 0.5 and 1 million deaths, mostly in African children. During the course of malaria, *P. falciparum* can infect and destroy more than one-half of a human's circulating blood cells, leading to severe anemia. In response to waste products released as the parasites burst from infected blood cells, the host immune system mounts a massive inflammatory response with delirium-inducing fever episodes, as parasites destroy red blood cells, spilling parasite waste into the blood stream. *P. falciparum* is transmitted to humans by the African malaria mosquito, *Anopheles gambiae*. Techniques to kill, sterilize, or avoid exposure to this highly aggressive mosquito species are crucial to malaria control.



**Figure 13.16** This light micrograph shows a 100× magnification of red blood cells infected with *P. falciparum* (seen as purple). (credit: modification of work by Michael Zahniser; scale-bar data from Matt Russell)

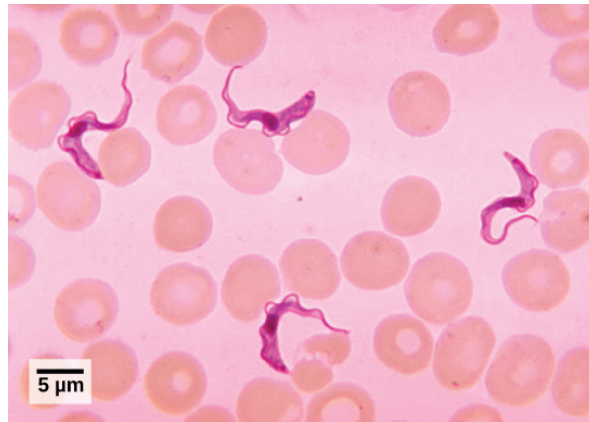


This **movie** (<http://openstaxcollege.org/l/malaria2>) depicts the pathogenesis of *Plasmodium falciparum*, the causative agent of malaria.

### Trypanosomes

*T. brucei*, the parasite that is responsible for African sleeping sickness, confounds the human immune system by changing its thick layer of surface glycoproteins with each infectious cycle (**Figure 13.17**). The glycoproteins are identified by the immune system as foreign matter, and a specific antibody defense is mounted against the parasite. However, *T. brucei* has thousands of possible antigens, and with each subsequent generation, the protist switches to a glycoprotein coating with a different molecular structure. In this way, *T. brucei* is capable of replicating continuously without the immune system ever succeeding in clearing the parasite. Without treatment, African sleeping sickness leads invariably to death because of damage it does to the nervous system. During epidemic periods, mortality from the disease can be high. Greater surveillance and control measures have led to a reduction in reported cases; some of the lowest numbers reported in 50 years (fewer than 10,000 cases in all of sub-Saharan Africa) have happened since 2009.

In Latin America, another species in the genus, *T. cruzi*, is responsible for Chagas disease. *T. cruzi* infections are mainly caused by a blood-sucking bug. The parasite inhabits heart and digestive system tissues in the chronic phase of infection, leading to malnutrition and heart failure caused by abnormal heart rhythms. An estimated 10 million people are infected with Chagas disease, which caused 10,000 deaths in 2008.



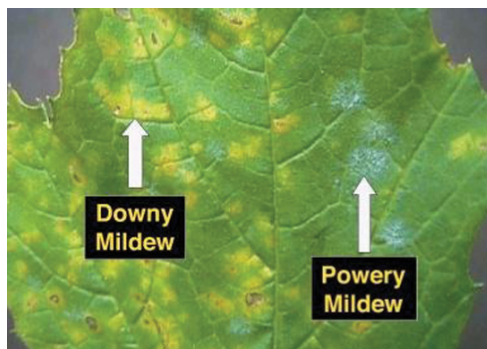
**Figure 13.17** Trypanosomes are shown in this light micrograph among red blood cells. (credit: modification of work by Myron G. Schultz, CDC; scale-bar data from Matt Russell)



This **movie** ([http://openstaxcollege.org/l/African\\_sleep2](http://openstaxcollege.org/l/African_sleep2)) discusses the pathogenesis of *Trypanosoma brucei*, the causative agent of African sleeping sickness.

## Plant Parasites

Protist parasites of terrestrial plants include agents that destroy food crops. The oomycete *Plasmopara viticola* parasitizes grape plants, causing a disease called downy mildew (**Figure 13.18a**). Grape plants infected with *P. viticola* appear stunted and have discolored withered leaves. The spread of downy mildew caused the near collapse of the French wine industry in the nineteenth century.



(a)



(b)

**Figure 13.18** (a) The downy and powdery mildews on this grape leaf are caused by an infection of *P. viticola*. (b) This potato exhibits the results of an infection with *P. infestans*, the potato late blight. (credit a: modification of work by David B. Langston, University of Georgia, USDA ARS; credit b: USDA ARS)

*Phytophthora infestans* is an oomycete responsible for potato late blight, which causes potato stalks and stems to decay into black slime (**Figure 13.18b**). Widespread potato blight caused by *P. infestans* precipitated the well-known Irish potato famine in the nineteenth century that claimed the lives of approximately 1 million people and led to the emigration from Ireland of at least 1 million more. Late blight continues to plague potato crops in certain parts of the United States and Russia, wiping out as much as 70 percent of crops when no pesticides are applied.

## Beneficial Protists

Protists play critically important ecological roles as producers particularly in the world's oceans. They are equally important on the other end of food webs as decomposers.

### *Protists as Food Sources*

Protists are essential sources of nutrition for many other organisms. In some cases, as in plankton, protists are consumed directly. Alternatively, photosynthetic protists serve as producers of nutrition for other organisms by carbon fixation. For instance, photosynthetic dinoflagellates called zooxanthellae pass on most of their energy to the coral polyps that house them (**Figure 13.19**). In this mutually beneficial relationship, the polyps provide a protective environment and nutrients for the zooxanthellae. The polyps secrete the calcium carbonate that builds coral reefs. Without dinoflagellate symbionts, corals lose algal pigments in a process called coral bleaching, and they eventually die. This explains why reef-building corals do not reside in waters deeper than 20 meters: Not enough light reaches those depths for dinoflagellates to photosynthesize.



**Figure 13.19** Coral polyps obtain nutrition through a symbiotic relationship with dinoflagellates.

Protists themselves and their products of photosynthesis are essential—directly or indirectly—to the survival of organisms ranging from bacteria to mammals. As primary producers, protists feed a large proportion of the world's aquatic species. (On land, terrestrial plants serve as primary producers.) In fact, approximately one-quarter of the world's photosynthesis is conducted by protists, particularly dinoflagellates, diatoms, and multicellular algae.

Protists do not create food sources only for sea-dwelling organisms. For instance, certain anaerobic species exist in the digestive tracts of termites and wood-eating cockroaches, where they contribute to digesting cellulose ingested by these insects as they bore through wood. The actual enzyme used to digest the cellulose is actually produced by bacteria living within the protist cells. The termite provides the food source to the protist and its bacteria, and the protist and bacteria provide nutrients to the termite by breaking down the cellulose.

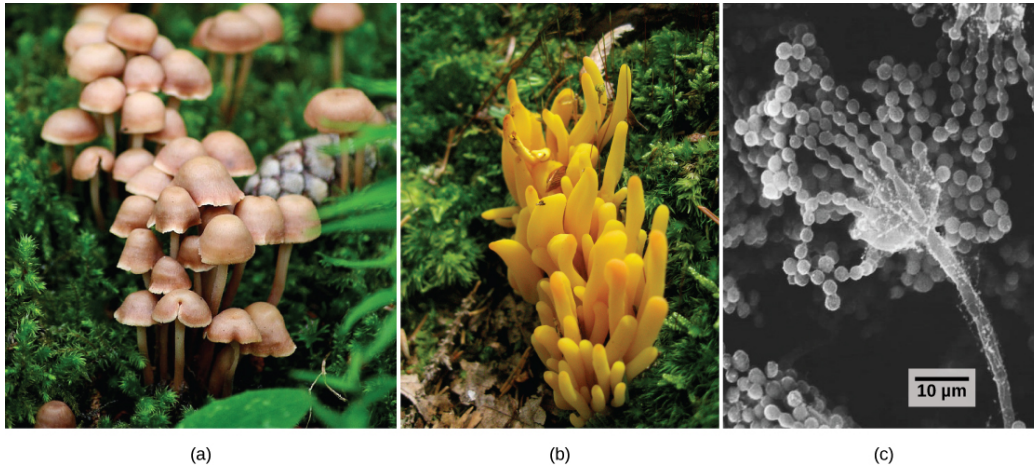
### *Agents of Decomposition*

Many fungus-like protists are **saprobies**, organisms that feed on dead organisms or the waste matter produced by organisms (saprophyte is an equivalent term), and are specialized to absorb nutrients from nonliving organic matter. For instance, many types of oomycetes grow on dead animals or algae. Saprobic protists have the essential function of returning inorganic nutrients to the soil and water. This process allows for new plant growth, which in turn generates sustenance for other organisms along the food chain. Indeed, without saprobic species, such as protists, fungi, and bacteria, life would cease to exist as all organic carbon became “tied up” in dead organisms.

## 13.4 | Fungi

By the end of this section, you will be able to:

- List the characteristics of fungi
- Describe fungal parasites and pathogens of plants and infections in humans
- Describe the importance of fungi to the environment
- Summarize the beneficial role of fungi in food and beverage preparation and in the chemical and pharmaceutical industry



**Figure 13.20** The (a) familiar mushroom is only one type of fungus. The brightly colored fruiting bodies of this (b) coral fungus are displayed. This (c) electron micrograph shows the spore-bearing structures of *Aspergillus*, a type of toxic fungi found mostly in soil and plants. (credit a: modification of work by Chris Wee; credit b: modification of work by Cory Zanker; credit c: modification of work by Janice Haney Carr, Robert Simmons, CDC; scale-bar data from Matt Russell)

The word *fungus* comes from the Latin word for mushroom. Indeed, the familiar mushrooms are fungi, but there are many other types of fungi as well (Figure 13.20). The kingdom Fungi includes an enormous variety of living organisms collectively referred to as Eumycota, or true fungi. While scientists have identified about 100,000 species of fungi, this is only a fraction of the over 1 million species likely present on Earth. Edible mushrooms, yeasts, black mold, and *Penicillium notatum* (the producer of the antibiotic penicillin) are all members of the kingdom Fungi, which belongs to the domain Eukarya. As eukaryotes, a typical fungal cell contains a true nucleus and many membrane-bound organelles.

Fungi were once considered plant-like organisms; however, DNA comparisons have shown that fungi are more closely related to animals than plants. Fungi are not capable of photosynthesis: They use complex organic compounds as sources of energy and carbon. Some fungal organisms multiply only asexually, whereas others undergo both asexual reproduction and sexual reproduction. Most fungi produce a large number of spores that are disseminated by the wind. Like bacteria, fungi play an essential role in ecosystems, because they are decomposers and participate in the cycling of nutrients by breaking down organic materials into simple molecules.

Fungi often interact with other organisms, forming mutually beneficial or mutualistic associations. Fungi also cause serious infections in plants and animals. For example, Dutch elm disease is a particularly devastating fungal infection that destroys many native species of elm (*Ulmus* spp.). The fungus infects the vascular system of the tree. It was accidentally introduced to North America in the 1900s and decimated elm trees across the continent. Dutch elm disease is caused by the fungus *Ophiostoma ulmi*. The elm bark beetle acts as a vector and transmits the disease from tree to tree. Many European and Asiatic elms are less susceptible than American elms.

In humans, fungal infections are generally considered challenging to treat because, unlike bacteria, they do not respond to traditional antibiotic therapy since they are also eukaryotes. These infections may prove deadly for individuals with a compromised immune system.

Fungi have many commercial applications. The food industry uses yeasts in baking, brewing, and wine making. Many industrial compounds are byproducts of fungal fermentation. Fungi are the source of many commercial enzymes and antibiotics.

## Cell Structure and Function

Fungi are eukaryotes and as such have a complex cellular organization. As eukaryotes, fungal cells contain a membrane-bound nucleus. A few types of fungi have structures comparable to the plasmids (loops of DNA) seen in bacteria. Fungal cells also contain mitochondria and a complex system of internal membranes, including the endoplasmic reticulum and Golgi apparatus.

Fungal cells do not have chloroplasts. Although the photosynthetic pigment chlorophyll is absent, many fungi display bright colors, ranging from red to green to black. The poisonous *Amanita muscaria* (fly agaric) is recognizable by its bright red cap with white patches (Figure 13.21). Pigments in fungi are associated with the cell wall and play a protective role against ultraviolet radiation. Some pigments are toxic.



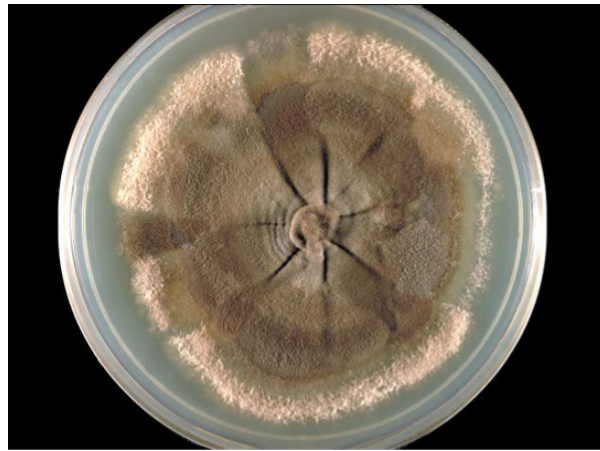
**Figure 13.21** The poisonous *Amanita muscaria* is native to the temperate and boreal regions of North America. (credit: Christine Majul)

Like plant cells, fungal cells are surrounded by a thick cell wall; however, the rigid layers contain the complex polysaccharides chitin and glucan and not cellulose that is used by plants. Chitin, also found in the exoskeleton of insects, gives structural strength to the cell walls of fungi. The cell wall protects the cell from desiccation and predators. Fungi have plasma membranes similar to other eukaryotes, except that the structure is stabilized by ergosterol, a steroid molecule that functions like the cholesterol found in animal cell membranes. Most members of the kingdom Fungi are nonmotile. Flagella are produced only by the gametes in the primitive division Chytridiomycota.

### Growth and Reproduction

The vegetative body of a fungus is called a **thallus** and can be unicellular or multicellular. Some fungi are dimorphic because they can go from being unicellular to multicellular depending on environmental conditions. Unicellular fungi are generally referred to as **yeasts**. *Saccharomyces cerevisiae* (baker's yeast) and *Candida* species (the agents of thrush, a common fungal infection) are examples of unicellular fungi.

Most fungi are multicellular organisms. They display two distinct morphological stages: vegetative and reproductive. The vegetative stage is characterized by a tangle of slender thread-like structures called hyphae (singular, **hypha**), whereas the reproductive stage can be more conspicuous. A mass of hyphae is called a **mycelium** (Figure 13.22). It can grow on a surface, in soil or decaying material, in a liquid, or even in or on living tissue. Although individual hypha must be observed under a microscope, the mycelium of a fungus can be very large with some species truly being “the fungus humongous.” The giant *Armillaria ostoyae* (honey mushroom) is considered the largest organism on Earth, spreading across over 2,000 acres of underground soil in eastern Oregon; it is estimated to be at least 2,400 years old.

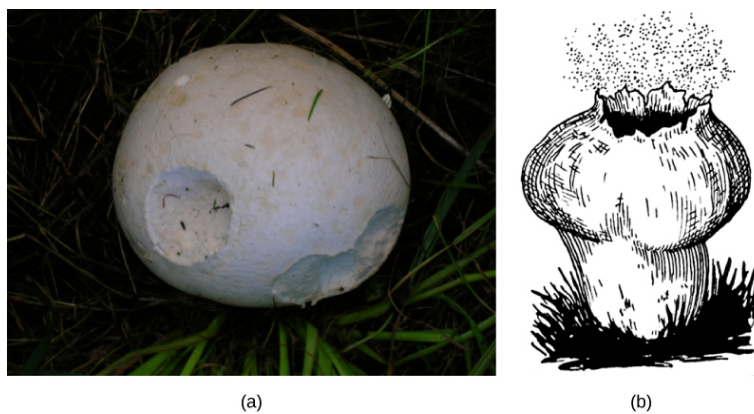


**Figure 13.22** The mycelium of the fungus *Neotestudina rosati* can be pathogenic to humans. The fungus enters through a cut or scrape and develops into a mycetoma, a chronic subcutaneous infection. (credit: CDC)

Most fungal hyphae are divided into separate cells by end walls called septa (singular, **septum**). In most divisions (like plants, fungal phyla are called *divisions* by tradition) of fungi, tiny holes in the septa allow for the rapid flow of nutrients and small molecules from cell to cell along the hyphae. They are described as perforated septa. The hyphae in bread **molds** (which belong to the division Zygomycota) are not separated by septa. They are formed of large cells containing many nuclei, an arrangement described as coenocytic hyphae.

Fungi thrive in environments that are moist and slightly acidic, and can grow with or without light. They vary in their oxygen requirements. Most fungi are obligate aerobes, requiring oxygen to survive. Other species, such as the Chytridiomycota that reside in the rumen of cattle, are obligate anaerobes, meaning that they cannot grow and reproduce in an environment with oxygen. Yeasts are intermediate: They grow best in the presence of oxygen but can use fermentation in the absence of oxygen. The alcohol produced from yeast fermentation is used in wine and beer production, and the carbon dioxide they produce carbonates beer and sparkling wine, and makes bread rise.

Fungi can reproduce sexually or asexually. In both sexual and asexual reproduction, fungi produce spores that disperse from the parent organism by either floating in the wind or hitching a ride on an animal. Fungal spores are smaller and lighter than plant seeds, but they are not usually released as high in the air. The giant puffball mushroom bursts open and releases trillions of spores: The huge number of spores released increases the likelihood of spores landing in an environment that will support growth (**Figure 13.23**).



**Figure 13.23** The (a) giant puffball mushroom releases (b) a cloud of spores when it reaches maturity. (credit a: modification of work by Roger Griffith; credit b: modification of work by Pearson Scott Foresman, donated to the Wikimedia Foundation)

### How Fungi Obtain Nutrition

Like animals, fungi are heterotrophs: They use complex organic compounds as a source of carbon rather than fixing carbon dioxide from the atmosphere, as some bacteria and most plants do. In addition, fungi do not fix nitrogen from the atmosphere. Like animals, they must obtain it from their diet. However, unlike most animals that ingest food and then digest it internally in specialized organs, fungi perform these steps in the reverse order. Digestion precedes ingestion. First, exoenzymes, enzymes that catalyze reactions on compounds outside of the cell, are transported out of the hyphae where

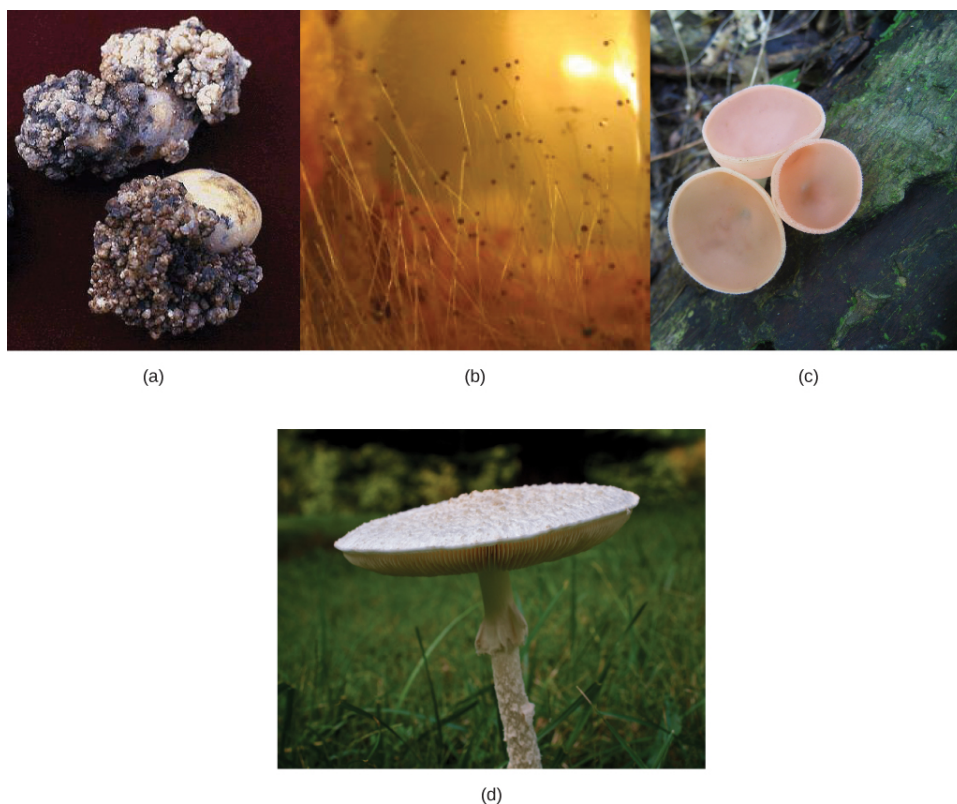
they break down nutrients in the environment. Then, the smaller molecules produced by the external digestion are absorbed through the large surface areas of the mycelium. As with animal cells, the fungal storage polysaccharide is glycogen rather than starch, as found in plants.

Fungi are mostly saprobes, organisms that derive nutrients from decaying organic matter. They obtain their nutrients from dead or decomposing organic matter, mainly plant material. Fungal exoenzymes are able to break down insoluble polysaccharides, such as the cellulose and lignin of dead wood, into readily absorbable glucose molecules. Decomposers are important components of ecosystems, because they return nutrients locked in dead bodies to a form that is usable for other organisms. This role is discussed in more detail later. Because of their varied metabolic pathways, fungi fulfill an important ecological role and are being investigated as potential tools in bioremediation. For example, some species of fungi can be used to break down diesel oil and polycyclic aromatic hydrocarbons. Other species take up heavy metals such as cadmium and lead.

## Fungal Diversity

The kingdom Fungi contains four major divisions that were established according to their mode of sexual reproduction. Polyphyletic, unrelated fungi that reproduce without a sexual cycle, are placed for convenience in a fifth division, and a sixth major fungal group that does not fit well with any of the previous five has recently been described. Not all mycologists agree with this scheme. Rapid advances in molecular biology and the sequencing of 18S rRNA (a component of ribosomes) continue to reveal new and different relationships between the various categories of fungi.

The traditional divisions of Fungi are the **Chytridiomycota** (chytrids), the **Zygomycota** (conjugated fungi), the **Ascomycota** (sac fungi), and the **Basidiomycota** (club fungi). An older classification scheme grouped fungi that strictly use asexual reproduction into Deuteromycota, a group that is no longer in use. The **Glomeromycota** belong to a newly described group (**Figure 13.24**).



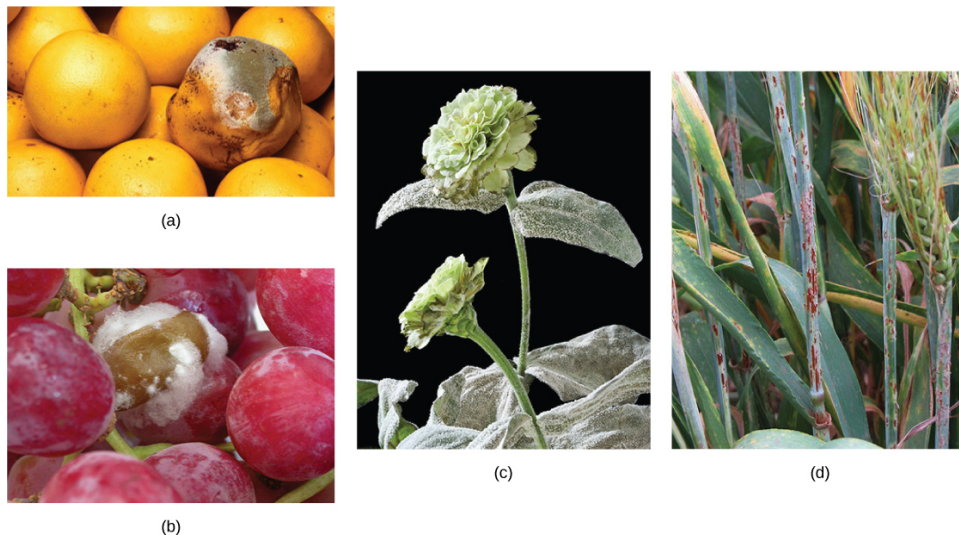
**Figure 13.24** Divisions of fungi include (a) chytrids, (b) conjugated fungi, (c) sac fungi, and (d) club fungi. (credit a: modification of work by USDA APHIS PPQ; credit c: modification of work by "icelight"/Flickr; credit d: modification of work by Cory Zanker.)

## Pathogenic Fungi

Many fungi have negative impacts on other species, including humans and the organisms they depend on for food. Fungi may be parasites, pathogens, and, in a very few cases, predators.

## Plant Parasites and Pathogens

The production of enough good-quality crops is essential to our existence. Plant diseases have ruined crops, bringing widespread famine. Most plant pathogens are fungi that cause tissue decay and eventual death of the host (**Figure 13.25**). In addition to destroying plant tissue directly, some plant pathogens spoil crops by producing potent toxins. Fungi are also responsible for food spoilage and the rotting of stored crops. For example, the fungus *Claviceps purpurea* causes ergot, a disease of cereal crops (especially of rye). Although the fungus reduces the yield of cereals, the effects of the ergot's alkaloid toxins on humans and animals are of much greater significance: In animals, the disease is referred to as ergotism. The most common signs and symptoms are convulsions, hallucination, gangrene, and loss of milk in cattle. The active ingredient of ergot is lysergic acid, which is a precursor of the drug LSD. Smuts, rusts, and powdery or downy mildew are other examples of common fungal pathogens that affect crops.



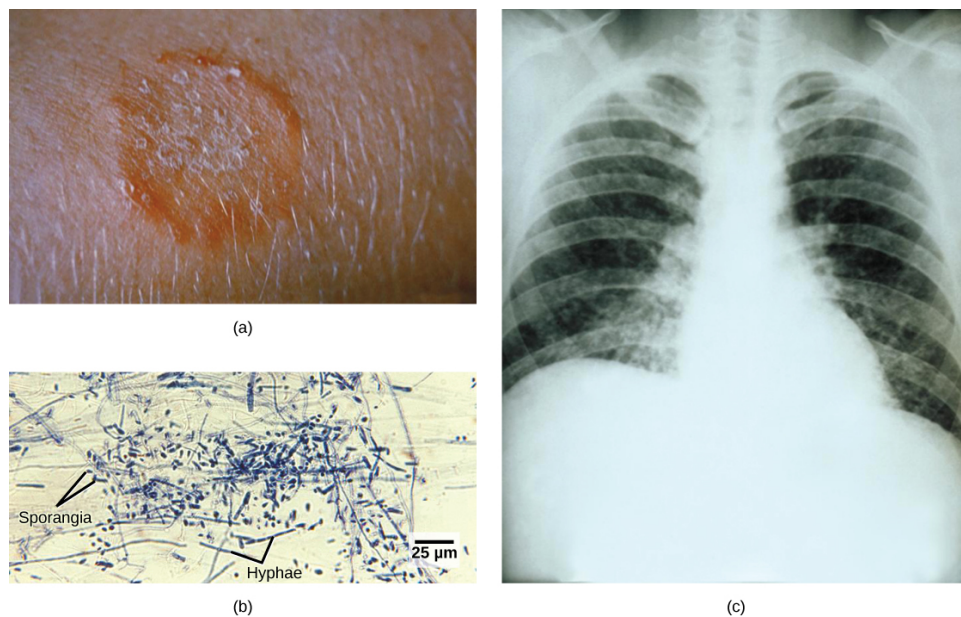
**Figure 13.25** Some fungal pathogens include (a) green mold on grapefruit, (b) fungus on grapes, (c) powdery mildew on a zinnia, and (d) stem rust on a sheaf of barley. Notice the brownish color of the fungus in (b) *Botrytis cinerea*, also referred to as the “noble rot,” which grows on grapes and other fruit. Controlled infection of grapes by *Botrytis* is used to produce strong and much-prized dessert wines. (credit a: modification of work by Scott Bauer, USDA ARS; credit b: modification of work by Stephen Ausmus, USDA ARS; credit c: modification of work by David Marshall, USDA ARS; credit d: modification of work by Joseph Smilanick, USDA ARS)

Aflatoxins are toxic and carcinogenic compounds released by fungi of the genus *Aspergillus*. Periodically, harvests of nuts and grains are tainted by aflatoxins, leading to massive recall of produce, sometimes ruining producers, and causing food shortages in developing countries.

## Animal and Human Parasites and Pathogens

Fungi can affect animals, including humans, in several ways. Fungi attack animals directly by colonizing and destroying tissues. Humans and other animals can be poisoned by eating toxic mushrooms or foods contaminated by fungi. In addition, individuals who display hypersensitivity to molds and spores develop strong and dangerous allergic reactions. Fungal infections are generally very difficult to treat because, unlike bacteria, fungi are eukaryotes. Antibiotics only target prokaryotic cells, whereas compounds that kill fungi also adversely affect the eukaryotic animal host.

Many fungal infections ( **mycoses**) are superficial and termed cutaneous (meaning “skin”) mycoses. They are usually visible on the skin of the animal. Fungi that cause the superficial mycoses of the epidermis, hair, and nails rarely spread to the underlying tissue (**Figure 13.26**). These fungi are often misnamed “dermatophytes” from the Greek *dermis* skin and *phyte* plant, but they are not plants. Dermatophytes are also called “ringworms” because of the red ring that they cause on skin (although the ring is caused by fungi, not a worm). These fungi secrete extracellular enzymes that break down keratin (a protein found in hair, skin, and nails), causing a number of conditions such as athlete’s foot, jock itch, and other cutaneous fungal infections. These conditions are usually treated with over-the-counter topical creams and powders, and are easily cleared. More persistent, superficial mycoses may require prescription oral medications.



**Figure 13.26** (a) Ringworm presents as a red ring on the skin. (b) *Trichophyton violaceum* is a fungus that causes superficial mycoses on the scalp. (c) *Histoplasma capsulatum*, seen in this X-ray as speckling of light areas in the lung, is a species of Ascomycota that infects airways and causes symptoms similar to the flu. (credit a, b: modification of work by Dr. Lucille K. Georg, CDC; credit c: modification of work by M Renz, CDC; scale-bar data from Matt Russell)

Systemic mycoses spread to internal organs, most commonly entering the body through the respiratory system. For example, coccidioidomycosis (valley fever) is commonly found in the southwestern United States, where the fungus resides in the dust. Once inhaled, the spores develop in the lungs and cause signs and symptoms similar to those of tuberculosis. Histoplasmosis (**Figure 13.26c**) is caused by the dimorphic fungus *Histoplasma capsulatum*; it causes pulmonary infections and, in rare cases, swelling of the membranes of the brain and spinal cord. Treatment of many fungal diseases requires the use of antifungal medications that have serious side effects.

Opportunistic mycoses are fungal infections that are either common in all environments or part of the normal biota. They affect mainly individuals who have a compromised immune system. Patients in the late stages of AIDS suffer from opportunistic mycoses, such as *Pneumocystis*, which can be life threatening. The yeast *Candida* spp., which is a common member of the natural biota, can grow unchecked if the pH, the immune defenses, or the normal population of bacteria is altered, causing yeast infections of the vagina or mouth (oral thrush).

Fungi may even take on a predatory lifestyle. In soil environments that are poor in nitrogen, some fungi resort to predation of nematodes (small roundworms). Species of *Arthrobotrys* fungi have a number of mechanisms to trap nematodes. For example, they have constricting rings within their network of hyphae. The rings swell when the nematode touches it and closes around the body of the nematode, thus trapping it. The fungus extends specialized hyphae that can penetrate the body of the worm and slowly digest the hapless prey.

## Beneficial Fungi

Fungi play a crucial role in the balance of ecosystems. They colonize most habitats on Earth, preferring dark, moist conditions. They can thrive in seemingly hostile environments, such as the tundra, thanks to a most successful symbiosis with photosynthetic organisms, like lichens. Fungi are not obvious in the way that large animals or tall trees are. Yet, like bacteria, they are major decomposers of nature. With their versatile metabolism, fungi break down organic matter that is insoluble and would not be recycled otherwise.

### Importance to Ecosystems

Food webs would be incomplete without organisms that decompose organic matter and fungi are key participants in this process. Decomposition allows for cycling of nutrients such as carbon, nitrogen, and phosphorus back into the environment so they are available to living things, rather than being trapped in dead organisms. Fungi are particularly important because they have evolved enzymes to break down cellulose and lignin, components of plant cell walls that few other organisms are able to digest, releasing their carbon content.

Fungi are also involved in ecologically important coevolved symbioses, both mutually beneficial and pathogenic with organisms from the other kingdoms. **Mycorrhiza**, a term combining the Greek roots *myco* meaning fungus and *rhizo*

meaning root, refers to the association between vascular plant roots and their symbiotic fungi. Somewhere between 80–90 percent of all plant species have mycorrhizal partners. In a mycorrhizal association, the fungal mycelia use their extensive network of hyphae and large surface area in contact with the soil to channel water and minerals from the soil into the plant. In exchange, the plant supplies the products of photosynthesis to fuel the metabolism of the fungus. Ectomycorrhizae (“outside” mycorrhiza) depend on fungi enveloping the roots in a sheath (called a mantle) and a net of hyphae that extends into the roots between cells. In a second type, the Glomeromycota fungi form arbuscular mycorrhiza. In these mycorrhiza, the fungi form arbuscules, a specialized highly branched hypha, which penetrate root cells and are the sites of the metabolic exchanges between the fungus and the host plant. Orchids rely on a third type of mycorrhiza. Orchids form small seeds without much storage to sustain germination and growth. Their seeds will not germinate without a mycorrhizal partner (usually Basidiomycota). After nutrients in the seed are depleted, fungal symbionts support the growth of the orchid by providing necessary carbohydrates and minerals. Some orchids continue to be mycorrhizal throughout their lifecycle.

**Lichens** blanket many rocks and tree bark, displaying a range of colors and textures. Lichens are important pioneer organisms that colonize rock surfaces in otherwise lifeless environments such as are created by glacial recession. The lichen is able to leach nutrients from the rocks and break them down in the first step to creating soil. Lichens are also present in mature habitats on rock surfaces or the trunks of trees. They are an important food source for caribou. Lichens are not a single organism, but rather a fungus (usually an Ascomycota or Basidiomycota species) living in close contact with a photosynthetic organism (an alga or cyanobacterium). The body of a lichen, referred to as a thallus, is formed of hyphae wrapped around the green partner. The photosynthetic organism provides carbon and energy in the form of carbohydrates and receives protection from the elements by the thallus of the fungal partner. Some cyanobacteria fix nitrogen from the atmosphere, contributing nitrogenous compounds to the association. In return, the fungus supplies minerals and protection from dryness and excessive light by encasing the algae in its mycelium. The fungus also attaches the symbiotic organism to the substrate.

Fungi have evolved mutualistic associations with numerous arthropods. The association between species of Basidiomycota and scale insects is one example. The fungal mycelium covers and protects the insect colonies. The scale insects foster a flow of nutrients from the parasitized plant to the fungus. In a second example, leaf-cutting ants of Central and South America literally farm fungi. They cut disks of leaves from plants and pile them up in gardens. Fungi are cultivated in these gardens, digesting the cellulose that the ants cannot break down. Once smaller sugar molecules are produced and consumed by the fungi, they in turn become a meal for the ants. The insects also patrol their garden, preying on competing fungi. Both ants and fungi benefit from the association. The fungus receives a steady supply of leaves and freedom from competition, while the ants feed on the fungi they cultivate.

### Importance to Humans

Although we often think of fungi as organisms that cause diseases and rot food, fungi are important to human life on many levels. As we have seen, they influence the well-being of human populations on a large scale because they help nutrients cycle in ecosystems. They have other ecosystem roles as well. For example, as animal pathogens, fungi help to control the population of damaging pests. These fungi are very specific to the insects they attack and do not infect other animals or plants. The potential to use fungi as microbial insecticides is being investigated, with several species already on the market. For example, the fungus *Beauveria bassiana* is a pesticide that is currently being tested as a possible biological control for the recent spread of emerald ash borer. It has been released in Michigan, Illinois, Indiana, Ohio, West Virginia, and Maryland.

The mycorrhizal relationship between fungi and plant roots is essential for the productivity of farmland. Without the fungal partner in the root systems, 80–90% of trees and grasses would not survive. Mycorrhizal fungal inoculants are available as soil amendments from gardening supply stores and promoted by supporters of organic agriculture.

We also eat some types of fungi. Mushrooms figure prominently in the human diet. Morels, shiitake mushrooms, chanterelles, and truffles are considered delicacies (**Figure 13.27**). The humble meadow mushroom, *Agaricus campestris*, appears in many dishes. Molds of the genus *Penicillium* ripen many cheeses. They originate in the natural environment such as the caves of Roquefort, France, where wheels of sheep milk cheese are stacked to capture the molds responsible for the blue veins and pungent taste of the cheese.



**Figure 13.27** The morel mushroom is an ascomycete that is much appreciated for its delicate taste. (credit: Jason Hollinger)

Fermentation—of grains to produce beer, and of fruits to produce wine—is an ancient art that humans in most cultures have practiced for millennia. Wild yeasts are acquired from the environment and used to ferment sugars into CO<sub>2</sub> and ethyl alcohol under anaerobic conditions. It is now possible to purchase isolated strains of wild yeasts from different wine-making regions. Pasteur was instrumental in developing a reliable strain of brewer's yeast, *Saccharomyces cerevisiae*, for the French brewing industry in the late 1850s. It was one of the first examples of biotechnology patenting. Yeast is also used to make breads that rise. The carbon dioxide they produce is responsible for the bubbles produced in the dough that become the air pockets of the baked bread.

Many secondary metabolites of fungi are of great commercial importance. Antibiotics are naturally produced by fungi to kill or inhibit the growth of bacteria, and limit competition in the natural environment. Valuable drugs isolated from fungi include the immunosuppressant drug cyclosporine (which reduces the risk of rejection after organ transplant), the precursors of steroid hormones, and ergot alkaloids used to stop bleeding. In addition, as easily cultured eukaryotic organisms, some fungi are important model research organisms including the red bread mold *Neurospora crassa* and the yeast, *S. cerevisiae*.

## KEY TERMS

**Amoebozoa** the eukaryotic supergroup that contains the amoebas and slime molds

**anaerobic** refers to organisms that grow without oxygen

**anoxic** without oxygen

**Archaeplastida** the eukaryotic supergroup that contains land plants, green algae, and red algae

**Ascomycota** (sac fungi) a division of fungi that store spores in a sac called ascus

**basidiomycota** (club fungi) a division of fungi that produce club shaped structures, basidia, which contain spores

**biofilm** a microbial community that is held together by a gummy-textured matrix

**bioremediation** the use of microbial metabolism to remove pollutants

**Black Death** a devastating pandemic that is believed to have been an outbreak of bubonic plague caused by the bacterium *Yersinia pestis*

**botulism** a disease produce by the toxin of the anaerobic bacterium *Clostridium botulinum*

**capsule** an external structure that enables a prokaryote to attach to surfaces and protects it from dehydration

**Chromalveolata** the eukaryotic supergroup that contains the dinoflagellates, ciliates, the brown algae, diatoms, and water molds

**Chytridiomycota** (chytrids) a primitive division of fungi that live in water and produce gametes with flagella

**commensalism** a symbiotic relationship in which one member benefits while the other member is not affected

**conjugation** the process by which prokaryotes move DNA from one individual to another using a pilus

**cyanobacteria** bacteria that evolved from early phototrophs and oxygenated the atmosphere; also known as blue-green algae

**Deuteromycota** a division of fungi that do not have a known sexual reproductive cycle (presently members of two phyla: Ascomycota and Basidiomycota)

**endosymbiosis** the engulfment of one cell by another such that the engulfed cell survives and both cells benefit; the process responsible for the evolution of mitochondria and chloroplasts in eukaryotes

**epidemic** a disease that occurs in an unusually high number of individuals in a population at the same time

**Excavata** the eukaryotic supergroup that contains flagellated single-celled organisms with a feeding groove

**extremophile** an organism that grows under extreme or harsh conditions

**foodborne disease** any illness resulting from the consumption of contaminated food, or of the pathogenic bacteria, viruses, or other parasites that contaminate food

**Glomeromycota** a group of fungi that form symbiotic relationships with the roots of trees

**Gram-negative** describes a bacterium whose cell wall contains little peptidoglycan but has an outer membrane

**Gram-positive** describes a bacterium that contains mainly peptidoglycan in its cell walls

**hydrothermal vent** a fissure in Earth's surface that releases geothermally heated water

**hypha** a fungal filament composed of one or more cells

**lichen** the close association of a fungus with a photosynthetic alga or bacterium that benefits both partners

**microbial mat** a multi-layered sheet of prokaryotes that may include bacteria and archaea

**mold** a tangle of visible mycelia with a fuzzy appearance

**MRSA** (methicillin-resistant *Staphylococcus aureus*) a very dangerous *Staphylococcus aureus* strain resistant to antibiotics

**mycelium** a mass of fungal hyphae

**mycorrhiza** a mutualistic association between fungi and vascular plant roots

**mycosis** a fungal infection

**Opisthokonta** the eukaryotic supergroup that contains the fungi, animals, and choanoflagellates

**pandemic** a widespread, usually worldwide, epidemic disease

**parasite** an organism that lives on or in another organism and feeds on it, often without killing it

**pathogen** an organism, or infectious agent, that causes a disease

**pellicle** an outer cell covering composed of interlocking protein strips that function like a flexible coat of armor, preventing cells from being torn or pierced without compromising their range of motion

**peptidoglycan** a material composed of polysaccharide chains cross-linked to unusual peptides

**phototroph** an organism that uses energy from sunlight

**plastid** one of a group of related organelles in plant cells that are involved in the storage of starches, fats, proteins, and pigments

**pseudopeptidoglycan** a component of some cell walls of Archaea

**Rhizaria** the eukaryotic supergroup that contains organisms that move by amoeboid movement

**saprobe** an organism that feeds on dead organic material

**septum** the cell wall division between hyphae

**stromatolite** a layered sedimentary structure formed by precipitation of minerals by prokaryotes in microbial mats

**thallus** a vegetative body of a fungus

**transduction** the process by which a bacteriophage moves DNA from one prokaryote to another

**transformation** a mechanism of genetic change in prokaryotes in which DNA present in the environment is taken into the cell and incorporated into the genome

**yeast** a general term used to describe unicellular fungi

**Zygomycota** (conjugated fungi) the division of fungi that form a zygote contained in a zygospore

## CHAPTER SUMMARY

### 13.1 Prokaryotic Diversity

Prokaryotes existed for billions of years before plants and animals appeared. Microbial mats are thought to represent the earliest forms of life on Earth, and there is fossil evidence, called stromatolites, of their presence about 3.5 billion years ago. During the first 2 billion years, the atmosphere was anoxic and only anaerobic organisms were able to live. Cyanobacteria began the oxygenation of the atmosphere. The increase in oxygen concentration allowed the evolution of other life forms.

Prokaryotes (domains Archaea and Bacteria) are single-celled organisms lacking a nucleus. They have a single piece of circular DNA in the nucleoid area of the cell. Most prokaryotes have cell wall outside the plasma membrane. Bacteria and Archaea differ in the compositions of their cell membranes and the characteristics of their cell walls.

Bacterial cell walls contain peptidoglycan. Archaeal cell walls do not have peptidoglycan. Bacteria can be divided into two major groups: Gram-positive and Gram-negative. Gram-positive organisms have a thick cell wall. Gram-negative organisms have a thin cell wall and an outer membrane. Prokaryotes use diverse sources of energy to assemble macromolecules from smaller molecules. Phototrophs obtain their energy from sunlight, whereas chemotrophs obtain it from chemical compounds.

Infectious diseases caused by bacteria remain among the leading causes of death worldwide. The excessive use of antibiotics to control bacterial infections has resulted in resistant forms of bacteria being selected. Foodborne diseases result from the consumption of contaminated food, pathogenic bacteria, viruses, or parasites that contaminate food. Prokaryotes are used in human food products. Microbial bioremediation is the use of microbial metabolism to remove pollutants. The human body contains a huge community of prokaryotes, many of which provide beneficial services such as the development and maintenance of the immune system, nutrition, and protection from pathogens.

### 13.2 Eukaryotic Origins

The first eukaryotes evolved from ancestral prokaryotes by a process that involved membrane proliferation, the loss of a cell wall, the evolution of a cytoskeleton, and the acquisition and evolution of organelles. Nuclear eukaryotic genes appear to have had an origin in the Archaea, whereas the energy machinery of eukaryotic cells appears to be bacterial in origin. The mitochondria and plastids originated from endosymbiotic events when ancestral cells engulfed an aerobic bacterium (in the case of mitochondria) and a photosynthetic bacterium (in the case of chloroplasts). The evolution of mitochondria likely preceded the evolution of chloroplasts. There is evidence of secondary endosymbiotic events in which plastids appear to be the result of endosymbiosis after a previous endosymbiotic event.

### 13.3 Protists

Protists are extremely diverse in terms of biological and ecological characteristics due in large part to the fact that they are an artificial assemblage of phylogenetically unrelated groups. Protists display highly varied cell structures, several types of reproductive strategies, virtually every possible type of nutrition, and varied habitats. Most single-celled protists are motile, but these organisms use diverse structures for transportation.

The process of classifying protists into meaningful groups is ongoing, but genetic data in the past 20 years have clarified many relationships that were previously unclear or mistaken. The majority view at present is to order all eukaryotes into six supergroups. The goal of this classification scheme is to create clusters of species that all are derived from a common ancestor.

### 13.4 Fungi

Fungi are eukaryotic organisms that appeared on land over 450 million years ago. They are heterotrophs and contain neither photosynthetic pigments such as chlorophylls nor organelles such as chloroplasts. Because they feed on decaying and dead matter, they are saprobes. Fungi are important decomposers and release essential elements into the environment. External enzymes digest nutrients that are absorbed by the body of the fungus called a thallus. A thick cell wall made of chitin surrounds the cell. Fungi can be unicellular as yeasts or develop a network of filaments called a mycelium, often described as mold. Most species multiply by asexual and sexual reproductive cycles, and display an alternation of generations.

The divisions of fungi are the Chytridiomycota, Zygomycota, Ascomycota, Basidiomycota, Glomeromycota, and the Deuteromycota, a polyphyletic group.

Fungi establish parasitic relationships with plants and animals. Fungal diseases can decimate crops and spoil food during storage. Compounds produced by fungi can be toxic to humans and other animals. Mycoses are infections caused by fungi. Superficial mycoses affect the skin, whereas systemic mycoses spread through the body. Fungal infections are difficult to cure.

Fungi have colonized all environments on Earth but are most often found in cool, dark, moist places with a supply of decaying material. Fungi are important decomposers because they are saprobes. Many successful mutualistic relationships involve a fungus and another organism. They establish complex mycorrhizal associations with the roots of plants. Lichens are a symbiotic relationship between a fungus and a photosynthetic organism, usually an alga or cyanobacterium.

Fungi are important to everyday human life. Fungi are important decomposers in most ecosystems. Mycorrhizal fungi are essential for the growth of most plants. Fungi, as food, play a role in human nutrition in the form of mushrooms and as

agents of fermentation in the production of bread, cheeses, alcoholic beverages, and numerous other food preparations. Secondary metabolites of fungi are used in medicine as antibiotics and anticoagulants. Fungi are used in research as model organisms for the study of eukaryotic genetics and metabolism.

## ART CONNECTION QUESTIONS

1. **Figure 13.6** Which of the following statements is true?

- a. Gram-positive bacteria have a single cell wall formed from peptidoglycan.
- b. Gram-positive bacteria have an outer membrane.

- c. The cell wall of Gram-negative bacteria is thick, and the cell wall of Gram-positive bacteria is thin.
- d. Gram-negative bacteria have a cell wall made of peptidoglycan, while Gram-positive bacteria have a cell wall made of phospholipids.

## REVIEW QUESTIONS

2. The first forms of life on Earth were thought to be \_\_\_\_\_.

- a. single-celled plants
- b. prokaryotes
- c. insects
- d. large animals such as dinosaurs

3. The first organisms that oxygenated the atmosphere were \_\_\_\_\_.

- a. cyanobacteria
- b. phototrophic organisms
- c. anaerobic organisms
- d. all of the above

4. Which of the following consist of prokaryotic cells?

- a. bacteria and fungi
- b. archaea and fungi
- c. protists and animals
- d. bacteria and archaea

5. Prokaryotes stain as Gram-positive or Gram-negative because of differences in the \_\_\_\_\_.

- a. cell wall
- b. cytoplasm
- c. nucleus
- d. chromosome

6. Prokaryotes that obtain their energy from chemical compounds are called \_\_\_\_\_.

- a. phototrophs
- b. auxotrophs
- c. chemotrophs
- d. lithotrophs

7. Bioremediation includes \_\_\_\_\_.

- a. the use of prokaryotes that can fix nitrogen
- b. the use of prokaryotes to clean up pollutants
- c. the use of prokaryotes as natural fertilizers
- d. All of the above

8. What event is thought to have contributed to the evolution of eukaryotes?

- a. global warming
- b. glaciation
- c. volcanic activity
- d. oxygenation of the atmosphere

9. Mitochondria most likely evolved from \_\_\_\_\_.

- a. a photosynthetic cyanobacterium
- b. cytoskeletal elements
- c. aerobic bacteria
- d. membrane proliferation

10. Protists with the capabilities to absorb nutrients from dead organisms are called \_\_\_\_\_.

- a. photoautotrophs
- b. autotrophs
- c. saprobes
- d. heterotrophs

11. Which parasitic protist evades the host immune system by altering its surface proteins with each generation?

- a. *Paramecium caudatum*
- b. *Trypanosoma brucei*
- c. *Plasmodium falciparum*
- d. *Phytophthora infestans*

12. Which polysaccharide is usually found in the cell walls of fungi?

- a. starch
- b. glycogen
- c. chitin
- d. cellulose

13. What term describes the close association of a fungus with the root of a tree?

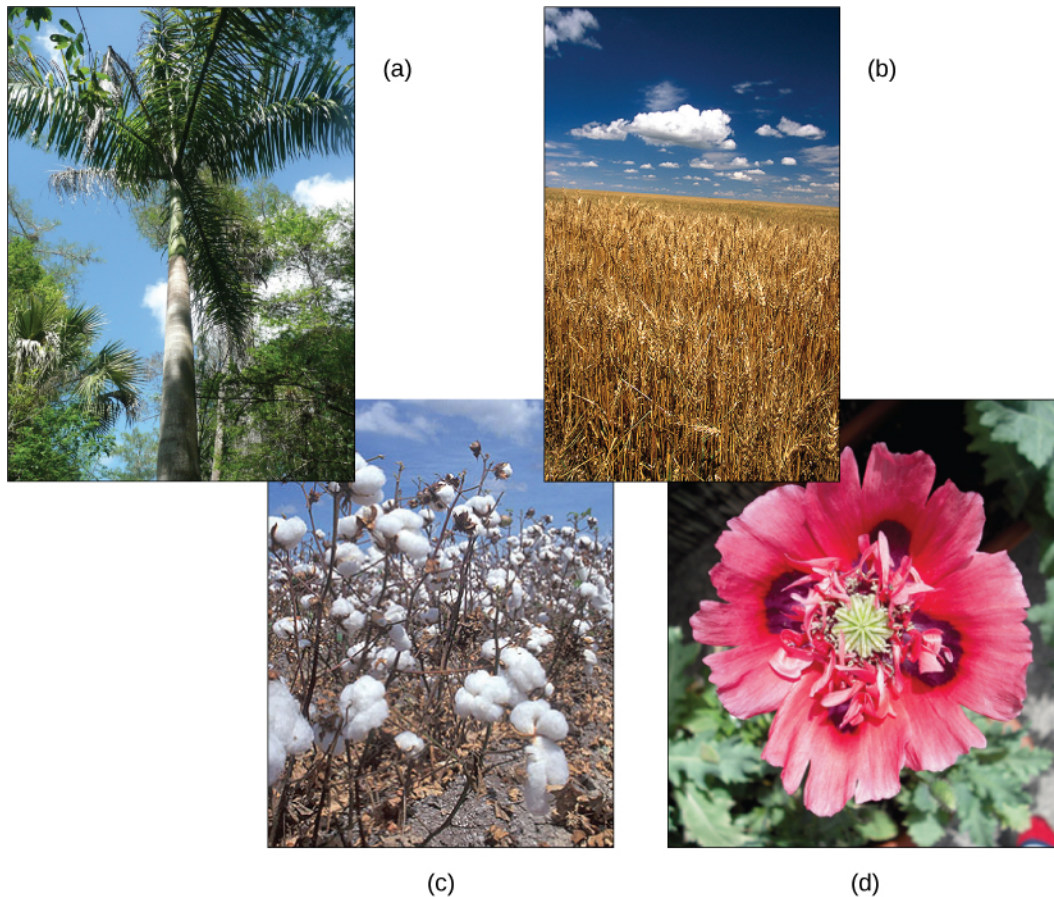
- a. a rhizoid
- b. a lichen
- c. a mycorrhiza
- d. an endophyte

## CRITICAL THINKING QUESTIONS

- 14.** Explain the reason why the imprudent and excessive use of antibiotics has resulted in a major global problem.
- 15.** Your friend believes that prokaryotes are always detrimental and pathogenic. How would you explain to them that they are wrong?
- 16.** Describe the hypothesized steps in the origin of eukaryote cells.
- 17.** How does killing *Anopheles* mosquitoes affect the *Plasmodium* protists?
- 18.** Without treatment, why does African sleeping sickness invariably lead to death?
- 19.** Why can superficial mycoses in humans lead to bacterial infections?



# 14 | DIVERSITY OF PLANTS



**Figure 14.1** Plants dominate the landscape and play an integral role in human societies. (a) Palm trees grow in tropical or subtropical climates; (b) wheat is a crop in most of the world; the flower of (c) the cotton plant produces fibers that are woven into fabric; the potent alkaloids of (d) the beautiful opium poppy have influenced human life both as a medicinal remedy and as a dangerously addictive drug. (credit a: modification of work by “3BoysInSanDiego”/Wikimedia Commons”; credit b: modification of work by Stephen Ausmus, USDA ARS; credit c: modification of work by David Nance, USDA ARS; credit d: modification of work by Jolly Janner)

## Chapter Outline

- 14.1: The Plant Kingdom**
- 14.2: Seedless Plants**
- 14.3: Seed Plants: Gymnosperms**
- 14.4: Seed Plants: Angiosperms**

## Introduction

Plants play an integral role in all aspects of life on the planet, shaping the physical terrain, influencing the climate, and maintaining life as we know it. For millennia, human societies have depended on plants for nutrition and medicinal compounds, and for many industrial by-products, such as timber, paper, dyes, and textiles. Palms provide materials

including rattans, oils, and dates. Wheat is grown to feed both human and animal populations. The cotton boll flower is harvested and its fibers transformed into clothing or pulp for paper. The showy opium poppy is valued both as an ornamental flower and as a source of potent opiate compounds.

Current evolutionary thought holds that all plants are monophyletic: that is, descendants of a single common ancestor. The evolutionary transition from water to land imposed severe constraints on the ancestors of contemporary plants. Plants had to evolve strategies to avoid drying out, to disperse reproductive cells in air, for structural support, and to filter sunlight. While seed plants developed adaptations that allowed them to populate even the most arid habitats on Earth, full independence from water did not happen in all plants, and most seedless plants still require a moist environment.

## 14.1 | The Plant Kingdom

By the end of this section, you will be able to:

- Describe the major characteristics of the plant kingdom
- Discuss the challenges to plant life on land
- Describe the adaptations that allowed plants to colonize land

Plants are a large and varied group of organisms. There are close to 300,000 species of catalogued plants.<sup>[1]</sup> Of these, about 260,000 are plants that produce seeds. Mosses, ferns, conifers, and flowering plants are all members of the plant kingdom. The plant kingdom contains mostly photosynthetic organisms; a few parasitic forms have lost the ability to photosynthesize. The process of photosynthesis uses chlorophyll, which is located in organelles called chloroplasts. Plants possess cell walls containing cellulose. Most plants reproduce sexually, but they also have diverse methods of asexual reproduction. Plants exhibit indeterminate growth, meaning they do not have a final body form, but continue to grow body mass until they die.

### Plant Adaptations to Life on Land

As organisms adapt to life on land, they have to contend with several challenges in the terrestrial environment. Water has been described as “the stuff of life.” The cell’s interior—the medium in which most small molecules dissolve and diffuse, and in which the majority of the chemical reactions of metabolism take place—is a watery soup. Desiccation, or drying out, is a constant danger for an organism exposed to air. Even when parts of a plant are close to a source of water, their aerial structures are likely to dry out. Water provides buoyancy to organisms that live in aquatic habitats. On land, plants need to develop structural support in air—a medium that does not give the same lift. Additionally, the male gametes must reach the female gametes using new strategies because swimming is no longer possible. Finally, both gametes and zygotes must be protected from drying out. The successful land plants evolved strategies to deal with all of these challenges, although not all adaptations appeared at once. Some species did not move far from an aquatic environment, whereas others left the water and went on to conquer the driest environments on Earth.

To balance these survival challenges, life on land offers several advantages. First, sunlight is abundant. On land, the spectral quality of light absorbed by the photosynthetic pigment, chlorophyll, is not filtered out by water or competing photosynthetic species in the water column above. Second, carbon dioxide is more readily available because its concentration is higher in air than in water. Additionally, land plants evolved before land animals; therefore, until dry land was colonized by animals, no predators threatened the well-being of plants. This situation changed as animals emerged from the water and found abundant sources of nutrients in the established flora. In turn, plants evolved strategies to deter predation: from spines and thorns to toxic chemicals.

The early land plants, like the early land animals, did not live far from an abundant source of water and developed survival strategies to combat dryness. One of these strategies is drought tolerance. Mosses, for example, can dry out to a brown and brittle mat, but as soon as rain makes water available, mosses will soak it up and regain their healthy, green appearance. Another strategy is to colonize environments with high humidity where droughts are uncommon. Ferns, an early lineage of plants, thrive in damp and cool places, such as the understory of temperate forests. Later, plants moved away from aquatic environments using resistance to desiccation, rather than tolerance. These plants, like the cactus, minimize water loss to such an extent they can survive in the driest environments on Earth.

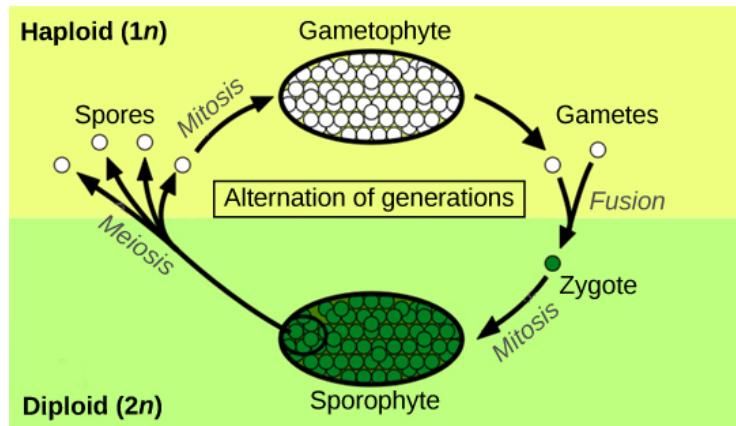
In addition to adaptations specific to life on land, land plants exhibit adaptations that were responsible for their diversity and predominance in terrestrial ecosystems. Four major adaptations are found in many terrestrial plants: the alternation of

1. A.D. Chapman (2009) *Numbers of Living Species in Australia and the World*. 2nd edition. A Report for the Australian Biological Resources Study. Australian Biodiversity Information Services, Toowoomba, Australia. Available online at <http://www.environment.gov.au/biodiversity/abrs/publications/other/species-numbers/2009/04-03-groups-plants.html>.

generations, a sporangium in which spores are formed, a gametangium that produces haploid cells, and in vascular plants, apical meristem tissue in roots and shoots.

### Alternation of Generations

Alternation of generations describes a life cycle in which an organism has both haploid and diploid multicellular stages (Figure 14.2).



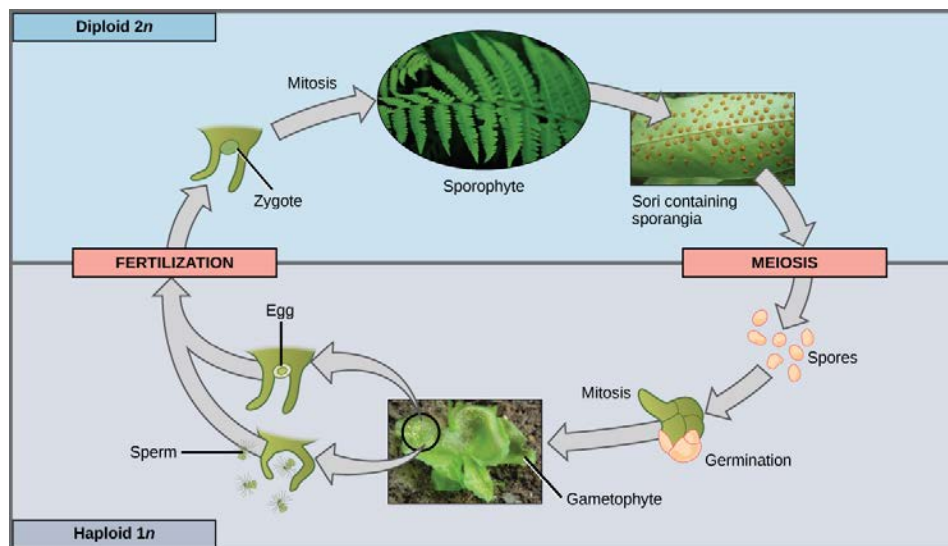
**Figure 14.2** Alternation of generations between the haploid (1n) gametophyte and diploid (2n) sporophyte is shown. (credit: modification of work by Peter Coxhead)

**Haplontic** refers to a life cycle in which there is a dominant haploid stage. **Diplontic** refers to a life cycle in which the diploid stage is the dominant stage, and the haploid chromosome number is only seen for a brief time in the life cycle during sexual reproduction. Humans are diplontic, for example. Most plants exhibit alternation of generations, which is described as **haplodiplontic**: the haploid multicellular form known as a gametophyte is followed in the development sequence by a multicellular diploid organism, the **sporophyte**. The **gametophyte** gives rise to the gametes, or reproductive cells, by mitosis. It can be the most obvious phase of the life cycle of the plant, as in the mosses, or it can occur in a microscopic structure, such as a pollen grain in the higher plants (the collective term for the vascular plants). The sporophyte stage is barely noticeable in lower plants (the collective term for the plant groups of mosses, liverworts, and hornworts). Towering trees are the diplontic phase in the lifecycles of plants such as sequoias and pines.

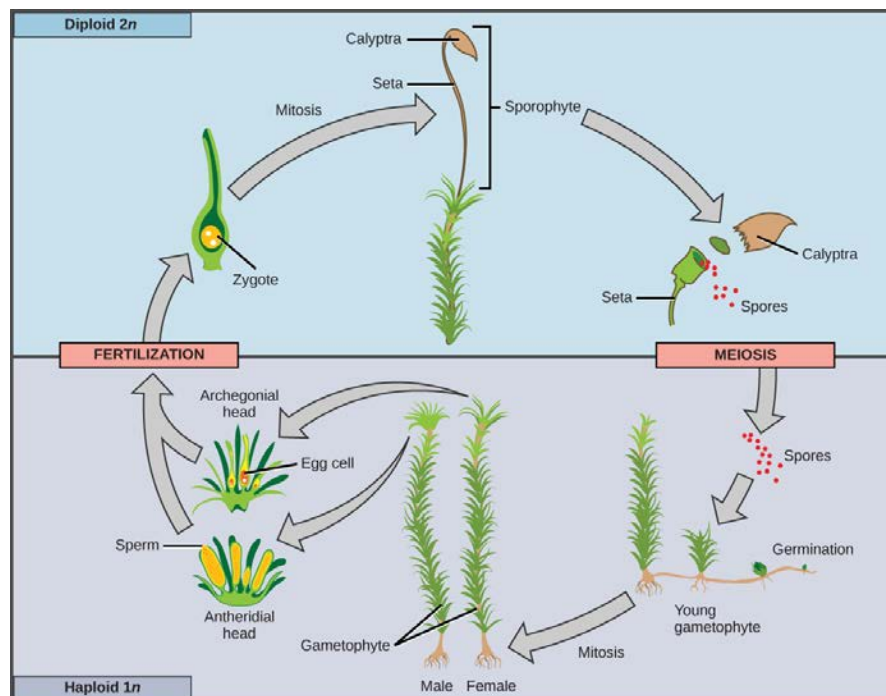
### Sporangia in the Seedless Plants

The sporophyte of seedless plants is diploid and results from **syngamy** or the fusion of two gametes (Figure 14.2). The sporophyte bears the **sporangia** (singular, sporangium), organs that first appeared in the land plants. The term “sporangia” literally means “spore in a vessel,” as it is a reproductive sac that contains spores. Inside the multicellular sporangia, the diploid sporocytes, or mother cells, produce haploid spores by meiosis, which reduces the  $2n$  chromosome number to  $1n$ . The spores are later released by the sporangia and disperse in the environment. Two different types of spores are produced in land plants, resulting in the separation of sexes at different points in the life cycle. Seedless nonvascular plants (more appropriately referred to as “seedless nonvascular plants with a dominant gametophyte phase”) produce only one kind of spore, and are called **homosporous**. After germinating from a spore, the gametophyte produces both male and female **gametangia**, usually on the same individual. In contrast, **heterosporous** plants produce two morphologically different types of spores. The male spores are called microspores because of their smaller size; the comparatively larger megaspores will develop into the female gametophyte. Heterospory is observed in a few seedless vascular plants and in all seed plants.

When the haploid spore germinates, it generates a multicellular gametophyte by mitosis. The gametophyte supports the zygote formed from the fusion of gametes and the resulting young sporophyte or vegetative form, and the cycle begins anew (Figure 14.3 and Figure 14.4).



**Figure 14.3** This life cycle of a fern shows alternation of generations with a dominant sporophyte stage. (credit "fern": modification of work by Cory Zanker; credit "gametophyte": modification of work by "Vlmastra"/Wikimedia Commons)



**Figure 14.4** This life cycle of a moss shows alternation of generations with a dominant gametophyte stage. (credit: modification of work by Mariana Ruiz Villareal)

The spores of seedless plants and the pollen of seed plants are surrounded by thick cell walls containing a tough polymer known as sporopollenin. This substance is characterized by long chains of organic molecules related to fatty acids and carotenoids, and gives most pollen its yellow color. Sporopollenin is unusually resistant to chemical and biological degradation. Its toughness explains the existence of well-preserved fossils of pollen. Sporopollenin was once thought to be an innovation of land plants; however, the green algae *Coleochaetes* is now known to form spores that contain sporopollenin.

Protection of the embryo is a major requirement for land plants. The vulnerable embryo must be sheltered from desiccation and other environmental hazards. In both seedless and seed plants, the female gametophyte provides nutrition, and in seed plants, the embryo is also protected as it develops into the new generation of sporophyte.

### Gametangia in the Seedless Plants

Gametangia (singular, gametangium) are structures on the gametophytes of seedless plants in which gametes are produced by mitosis. The male gametangium, the antheridium, releases sperm. Many seedless plants produce sperm equipped with flagella that enable them to swim in a moist environment to the archegonia, the female gametangium. The embryo develops inside the archegonium as the sporophyte.

### Apical Meristems

The shoots and roots of plants increase in length through rapid cell division within a tissue called the **apical meristem** (Figure 14.5). The apical meristem is a cap of cells at the shoot tip or root tip made of undifferentiated cells that continue to proliferate throughout the life of the plant. Meristematic cells give rise to all the specialized tissues of the plant. Elongation of the shoots and roots allows a plant to access additional space and resources: light in the case of the shoot, and water and minerals in the case of roots. A separate meristem, called the lateral meristem, produces cells that increase the diameter of stems and tree trunks. Apical meristems are an adaptation to allow vascular plants to grow in directions essential to their survival: upward to greater availability of sunlight, and downward into the soil to obtain water and essential minerals.



**Figure 14.5** This apple seedling is an example of a plant in which the apical meristem gives rise to new shoots and root growth.

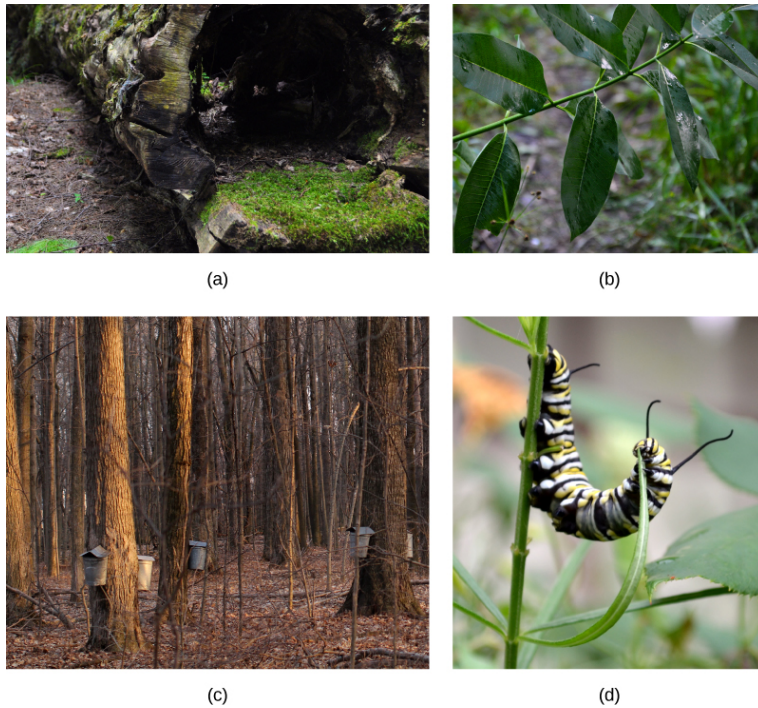
## Additional Land Plant Adaptations

As plants adapted to dry land and became independent of the constant presence of water in damp habitats, new organs and structures made their appearance. Early land plants did not grow above a few inches off the ground, and on these low mats, they competed for light. By evolving a shoot and growing taller, individual plants captured more light. Because air offers substantially less support than water, land plants incorporated more rigid molecules in their stems (and later, tree trunks). The evolution of vascular tissue for the distribution of water and solutes was a necessary prerequisite for plants to evolve larger bodies. The vascular system contains xylem and phloem tissues. Xylem conducts water and minerals taken from the soil up to the shoot; phloem transports food derived from photosynthesis throughout the entire plant. The root system that evolved to take up water and minerals also anchored the increasingly taller shoot in the soil.

In land plants, a waxy, waterproof cover called a cuticle coats the aerial parts of the plant: leaves and stems. The cuticle also prevents intake of carbon dioxide needed for the synthesis of carbohydrates through photosynthesis. Stomata, or pores, that open and close to regulate traffic of gases and water vapor therefore appeared in plants as they moved into drier habitats.

Plants cannot avoid predatory animals. Instead, they synthesize a large range of poisonous secondary metabolites: complex organic molecules such as alkaloids, whose noxious smells and unpleasant taste deter animals. These toxic compounds can cause severe diseases and even death.

Additionally, as plants coevolved with animals, sweet and nutritious metabolites were developed to lure animals into providing valuable assistance in dispersing pollen grains, fruit, or seeds. Plants have been coevolving with animal associates for hundreds of millions of years (Figure 14.6).



**Figure 14.6** Plants have evolved various adaptations to life on land. (a) Early plants grew close to the ground, like this moss, to avoid desiccation. (b) Later plants developed a waxy cuticle to prevent desiccation. (c) To grow taller, like these maple trees, plants had to evolve new structural chemicals to strengthen their stems and vascular systems to transport water and minerals from the soil and nutrients from the leaves. (d) Plants developed physical and chemical defenses to avoid being eaten by animals. (credit a, b: modification of work by Cory Zanker; credit c: modification of work by Christine Cimala; credit d: modification of work by Jo Naylor)

## eVolution IN ACTION

### Paleobotany

How organisms acquired traits that allow them to colonize new environments, and how the contemporary ecosystem is shaped, are fundamental questions of evolution. Paleobotany addresses these questions by specializing in the study of extinct plants. Paleobotanists analyze specimens retrieved from field studies, reconstituting the morphology of organisms that have long disappeared. They trace the evolution of plants by following the modifications in plant morphology, and shed light on the connection between existing plants by identifying common ancestors that display the same traits. This field seeks to find transitional species that bridge gaps in the path to the development of modern organisms. Fossils are formed when organisms are trapped in sediments or environments where their shapes are preserved (**Figure 14.7**). Paleobotanists determine the geological age of specimens and the nature of their environment using the geological sediments and fossil organisms surrounding them. The activity requires great care to preserve the integrity of the delicate fossils and the layers in which they are found.

One of the most exciting recent developments in paleobotany is the use of analytical chemistry and molecular biology to study fossils. Preservation of molecular structures requires an environment free of oxygen, since oxidation and degradation of material through the activity of microorganisms depend on the presence of oxygen. One example of the use of analytical chemistry and molecular biology is in the identification of oleanane, a compound that deters pests and which, up to this point, appears to be unique to flowering plants. Oleanane was recovered from sediments dating from the Permian, much earlier than the current dates given for the appearance of the first flowering plants. Fossilized nucleic acids—DNA and RNA—yield the most information. Their sequences are analyzed and compared to those of living and related organisms. Through this analysis, evolutionary relationships can be built for plant lineages.

Some paleobotanists are skeptical of the conclusions drawn from the analysis of molecular fossils. For one, the chemical materials of interest degrade rapidly during initial isolation when exposed to air, as well as in further manipulations. There is always a high risk of contaminating the specimens with extraneous material, mostly from microorganisms. Nevertheless, as technology is refined, the analysis of DNA from fossilized plants will provide invaluable information on the evolution of plants and their adaptation to an ever-changing environment.



**Figure 14.7** This fossil of a palm leaf (*Palmacites* sp.) discovered in Wyoming dates to about 40 million years ago.

### The Major Divisions of Land Plants

Land plants are classified into two major groups according to the absence or presence of vascular tissue, as detailed in **Figure 14.8**. Plants that lack vascular tissue formed of specialized cells for the transport of water and nutrients are referred to as **nonvascular plants**. The bryophytes, liverworts, mosses, and hornworts are seedless and nonvascular, and likely appeared early in land plant evolution. **Vascular plants** developed a network of cells that conduct water and solutes through the plant body. The first vascular plants appeared in the late Ordovician (461–444 million years ago) and were probably similar to lycophytes, which include club mosses (not to be confused with the mosses) and the pterophytes (ferns, horsetails, and whisk ferns). Lycophytes and pterophytes are referred to as seedless vascular plants. They do not produce seeds, which are embryos with their stored food reserves protected by a hard casing. The seed plants form the largest group of all existing plants and, hence, dominate the landscape. Seed plants include gymnosperms, most notably conifers, which produce “naked

seeds,” and the most successful plants, the flowering plants, or angiosperms, which protect their seeds inside chambers at the center of a flower. The walls of these chambers later develop into fruits.

Embryophytes: The Land Plants						
Nonvascular Plants “Bryophytes”			Vascular Plants			
Liverworts	Hornworts	Mosses	Seedless Plants		Seed Plants	
			Lycophytes	Pterophytes	Gymno-sperms	Angio-sperms
			Club Mosses	Whisk Ferns		
			Quillworts	Horsetails		
			Spike Mosses	Ferns		

**Figure 14.8** This table shows the major divisions of plants.

## 14.2 | Seedless Plants

By the end of this section, you will be able to:

- Describe the distinguishing traits of the three types of bryophytes
- Identify the new traits that first appear in seedless vascular plants
- Describe the major classes of seedless vascular plants

An incredible variety of seedless plants populates the terrestrial landscape. Mosses grow on tree trunks, and horsetails (**Figure 14.9**) display their jointed stems and spindly leaves on the forest floor. Yet, seedless plants represent only a small fraction of the plants in our environment. Three hundred million years ago, seedless plants dominated the landscape and grew in the enormous swampy forests of the Carboniferous period. Their decomposing bodies created large deposits of coal that we mine today.



**Figure 14.9** Seedless plants like these horsetails (*Equisetum* sp.) thrive in damp, shaded environments under the tree canopy where dryness is a rare occurrence. (credit: Jerry Kirkhart)

### Bryophytes

Bryophytes, an informal grouping of the nonvascular plants, are the closest extant relative of early terrestrial plants. The first bryophytes most probably appeared in the Ordovician period, about 490 million years ago. Because of the lack of lignin—the tough polymer in cell walls in the stems of vascular plants—and other resistant structures, the likelihood of bryophytes forming fossils is rather small, though some spores made up of sporopollenin have been discovered that have been attributed to early bryophytes. By the Silurian period (440 million years ago), however, vascular plants had spread throughout the continents. This fact is used as evidence that nonvascular plants must have preceded the Silurian period.

There are about 18,000 species of bryophytes, which thrive mostly in damp habitats, although some grow in deserts. They constitute the major flora of inhospitable environments like the tundra, where their small size and tolerance to desiccation offer distinct advantages. They do not have the specialized cells that conduct fluids found in the vascular plants, and generally lack lignin. In bryophytes, water and nutrients circulate inside specialized conducting cells. Although the name nontracheophyte is more accurate, bryophytes are commonly referred to as nonvascular plants.

In a bryophyte, all the conspicuous vegetative organs belong to the haploid organism, or gametophyte. The diploid sporophyte is barely noticeable. The gametes formed by bryophytes swim using flagella. The sporangium, the multicellular sexual reproductive structure, is present in bryophytes. The embryo also remains attached to the parent plant, which nourishes it. This is a characteristic of land plants.

The bryophytes are divided into three divisions (in plants, the taxonomic level “division” is used instead of phylum): the liverworts, or Marchantiophyta; the hornworts, or Anthocerotophyta; and the mosses, or true Bryophyta.

## Liverworts

**Liverworts** (Marchantiophyta) may be viewed as the plants most closely related to the ancestor that moved to land. Liverworts have colonized many habitats on Earth and diversified to more than 6,000 existing species (**Figure 14.10a**). Some gametophytes form lobate green structures, as seen in **Figure 14.10b**. The shape is similar to the lobes of the liver and, hence, provides the origin of the common name given to the division.



**Figure 14.10** (a) A 1904 drawing of liverworts shows the variety of their forms. (b) A liverwort, *Lunularia cruciata*, displays its lobate, flat thallus. The organism in the photograph is in the gametophyte stage.

## Hornworts

The **hornworts** (Anthocerotophyta) have colonized a variety of habitats on land, although they are never far from a source of moisture. There are about 100 described species of hornworts. The dominant phase of the life cycle of hornworts is the short, blue-green gametophyte. The sporophyte is the defining characteristic of the group. It is a long and narrow pipe-like structure that emerges from the parent gametophyte and maintains growth throughout the life of the plant (**Figure 14.11**).



**Figure 14.11** Hornworts grow a tall and slender sporophyte. (credit: modification of work by Jason Hollinger)

## Mosses

More than 12,000 species of **mosses** have been catalogued. Their habitats vary from the tundra, where they are the main vegetation, to the understory of tropical forests. In the tundra, their shallow rhizoids allow them to fasten to a substrate without digging into the frozen soil. They slow down erosion, store moisture and soil nutrients, and provide shelter for small animals and food for larger herbivores, such as the musk ox. Mosses are very sensitive to air pollution and are used to monitor the quality of air. The sensitivity of mosses to copper salts makes these salts a common ingredient of compounds marketed to eliminate mosses in lawns (**Figure 14.12**).



**Figure 14.12** This green feathery moss has reddish-brown sporophytes growing upward. (credit: "Lordgrunt"/Wikimedia Commons)

## Vascular Plants

The vascular plants are the dominant and most conspicuous group of land plants. There are about 275,000 species of vascular plants, which represent more than 90 percent of Earth's vegetation. Several evolutionary innovations explain their success and their spread to so many habitats.

### **Vascular Tissue: Xylem and Phloem**

The first fossils that show the presence of vascular tissue are dated to the Silurian period, about 430 million years ago. The simplest arrangement of conductive cells shows a pattern of xylem at the center surrounded by phloem. **Xylem** is the tissue responsible for long-distance transport of water and minerals, the transfer of water-soluble growth factors from the organs of synthesis to the target organs, and storage of water and nutrients.

A second type of vascular tissue is **phloem**, which transports sugars, proteins, and other solutes through the plant. Phloem cells are divided into sieve elements, or conducting cells, and supportive tissue. Together, xylem and phloem tissues form the vascular system of plants.

### Roots: Support for the Plant

Roots are not well preserved in the fossil record; nevertheless, it seems that they did appear later in evolution than vascular tissue. The development of an extensive network of roots represented a significant new feature of vascular plants. Thin rhizoids attached the bryophytes to the substrate. Their rather flimsy filaments did not provide a strong anchor for the plant; neither did they absorb water and nutrients. In contrast, roots, with their prominent vascular tissue system, transfer water and minerals from the soil to the rest of the plant. The extensive network of roots that penetrates deep in the ground to reach sources of water also stabilizes trees by acting as ballast and an anchor. The majority of roots establish a symbiotic relationship with fungi, forming mycorrhizae. In the mycorrhizae, fungal hyphae grow around the root and within the root around the cells, and in some instances within the cells. This benefits the plant by greatly increasing the surface area for absorption.

### Leaves, Sporophylls, and Strobili

A third adaptation marks seedless vascular plants. Accompanying the prominence of the sporophyte and the development of vascular tissue, the appearance of true leaves improved photosynthetic efficiency. Leaves capture more sunlight with their increased surface area.

In addition to photosynthesis, leaves play another role in the life of the plants. Pinecones, mature fronds of ferns, and flowers are all **sporophylls**—leaves that were modified structurally to bear sporangia. **Strobili** are structures that contain the sporangia. They are prominent in conifers and are known commonly as cones: for example, the pine cones of pine trees.

## Seedless Vascular Plants

By the Late Devonian period (385 million years ago), plants had evolved vascular tissue, well-defined leaves, and root systems. With these advantages, plants increased in height and size. During the Carboniferous period (359–299 million years ago), swamp forests of club mosses and horsetails, with some specimens reaching more than 30 meters tall, covered most of the land. These forests gave rise to the extensive coal deposits that gave the Carboniferous its name. In seedless vascular plants, the sporophyte became the dominant phase of the lifecycle.

Water is still required for fertilization of seedless vascular plants, and most favor a moist environment. Modern-day seedless vascular plants include club mosses, horsetails, ferns, and whisk ferns.

### Club Mosses

The **club mosses**, or Lycophyta, are the earliest group of seedless vascular plants. They dominated the landscape of the Carboniferous period, growing into tall trees and forming large swamp forests. Today's club mosses are diminutive, evergreen plants consisting of a stem (which may be branched) and small leaves called microphylls (**Figure 14.13**). The division Lycophyta consists of close to 1,000 species, including quillworts (*Isoetales*), club mosses (Lycopodiales), and spike mosses (Selaginellales): none of which is a true moss.



**Figure 14.13** *Lycopodium clavatum* is a club moss. (credit: Cory Zanker)

### Horsetails

Ferns and whisk ferns belong to the division Pterophyta. A third group of plants in the Pterophyta, the horsetails, is sometimes classified separately from ferns. **Horsetails** have a single genus, *Equisetum*. They are the survivors of a large group of plants, known as Arthrophyta, which produced large trees and entire swamp forests in the Carboniferous. The plants are usually found in damp environments and marshes (**Figure 14.14**).



**Figure 14.14** Horsetails thrive in a marsh. (credit: Myriam Feldman)

The stem of a horsetail is characterized by the presence of joints, or nodes: hence the name Arthrophyta, which means “jointed plant”. Leaves and branches come out as whorls from the evenly spaced rings. The needle-shaped leaves do not contribute greatly to photosynthesis, the majority of which takes place in the green stem (**Figure 14.15**).



**Figure 14.15** Thin leaves originating at the joints are noticeable on the horsetail plant. (credit: Myriam Feldman)

### Ferns and Whisk Ferns

Ferns are considered the most advanced seedless vascular plants and display characteristics commonly observed in seed plants. Ferns form large leaves and branching roots. In contrast, **whisk ferns**, the psilophytes, lack both roots and leaves, which were probably lost by evolutionary reduction. Evolutionary reduction is a process by which natural selection reduces the size of a structure that is no longer favorable in a particular environment. Photosynthesis takes place in the green stem of a whisk fern. Small yellow knobs form at the tip of the branch stem and contain the sporangia. Whisk ferns have been classified outside the true ferns; however, recent comparative analysis of DNA suggests that this group may have lost both vascular tissue and roots through evolution, and is actually closely related to ferns.

With their large fronds, **ferns** are the most readily recognizable seedless vascular plants (**Figure 14.16**). About 12,000 species of ferns live in environments ranging from tropics to temperate forests. Although some species survive in dry environments, most ferns are restricted to moist and shaded places. They made their appearance in the fossil record during

the Devonian period (416–359 million years ago) and expanded during the Carboniferous period, 359–299 million years ago (**Figure 14.17**).



**Figure 14.16** Some specimens of this short tree-fern species can grow very tall. (credit: Adrian Pingstone)

EON	ERA	PERIOD	MILLIONS OF YEARS AGO
Phanerozoic	Cenozoic	Quaternary	--- 1.6 ---
		Tertiary	--- 66 ---
	Mesozoic	Cretaceous	--- 138 ---
		Jurassic	--- 205 ---
		Triassic	--- 240 ---
	Paleozoic	Permian	--- 290 ---
		Carboniferous	--- 360 ---
		Devonian	--- 410 ---
		Silurian	--- 435 ---
		Ordovician	--- 500 ---
		Cambrian	--- 570 ---
Proterozoic			---2500---
Archean			---3800?---
Pre-Archean			

**Figure 14.17** This chart shows the geological time scale, beginning with the Pre-Archean eon 3800 million years ago and ending with the Quaternary period in present time. (credit: modification of work by USGS)



Go to this **website** ([http://openstaxcollege.org/l/fern\\_life\\_cycl2](http://openstaxcollege.org/l/fern_life_cycl2)) to see an animation of the lifecycle of a fern and to test your knowledge.

## careers IN ACTION

### Landscape Designer

Looking at the well-laid gardens of flowers and fountains seen in royal castles and historic houses of Europe, it is clear that the creators of those gardens knew more than art and design. They were also familiar with the biology of the plants they chose. Landscape design also has strong roots in the United States' tradition. A prime example of early American classical design is Monticello, Thomas Jefferson's private estate; among his many other interests, Jefferson maintained a passion for botany. Landscape layout can encompass a small private space, like a backyard garden; public gathering places, like Central Park in New York City; or an entire city plan, like Pierre L'Enfant's design for Washington, DC.

A landscape designer will plan traditional public spaces—such as botanical gardens, parks, college campuses, gardens, and larger developments—as well as natural areas and private gardens (**Figure 14.18**). The restoration of natural places encroached upon by human intervention, such as wetlands, also requires the expertise of a landscape designer.

With such an array of required skills, a landscape designer's education includes a solid background in botany, soil science, plant pathology, entomology, and horticulture. Coursework in architecture and design software is also required for the completion of the degree. The successful design of a landscape rests on an extensive knowledge of plant growth requirements, such as light and shade, moisture levels, compatibility of different species, and susceptibility to pathogens and pests. For example, mosses and ferns will thrive in a shaded area where fountains provide moisture; cacti, on the other hand, would not fare well in that environment. The future growth of the individual plants must be taken into account to avoid crowding and competition for light and nutrients. The appearance of the space over time is also of concern. Shapes, colors, and biology must be balanced for a well-maintained and sustainable green space. Art, architecture, and biology blend in a beautifully designed and implemented landscape.



**Figure 14.18** This campus garden was designed by students in the horticulture and landscaping department of the college. (credit: Myriam Feldman)

## 14.3 | Seed Plants: Gymnosperms

By the end of this section, you will be able to:

- Discuss the type of seeds produced by gymnosperms, as well as other characteristics of gymnosperms
- List the four groups of modern-day gymnosperms and provide examples of each

The first plants to colonize land were most likely closely related to modern-day mosses (bryophytes) and are thought to have appeared about 500 million years ago. They were followed by liverworts (also bryophytes) and primitive vascular plants,

the pterophytes, from which modern ferns are derived. The life cycle of bryophytes and pterophytes is characterized by the alternation of generations. The completion of the life cycle requires water, as the male gametes must swim to the female gametes. The male gametophyte releases sperm, which must swim—propelled by their flagella—to reach and fertilize the female gamete or egg. After fertilization, the zygote matures and grows into a sporophyte, which in turn will form sporangia, or “spore vessels,” in which mother cells undergo meiosis and produce haploid spores. The release of spores in a suitable environment will lead to germination and a new generation of gametophytes.

## The Evolution of Seed Plants

In seed plants, the evolutionary trend led to a dominant sporophyte generation, in which the larger and more ecologically significant generation for a species is the diploid plant. At the same time, the trend led to a reduction in the size of the gametophyte, from a conspicuous structure to a microscopic cluster of cells enclosed in the tissues of the sporophyte. Lower vascular plants, such as club mosses and ferns, are mostly homosporous (produce only one type of spore). In contrast, all seed plants, or spermatophytes, are heterosporous, forming two types of spores: megaspores (female) and microspores (male). Megaspores develop into female gametophytes that produce eggs, and microspores mature into male gametophytes that generate sperm. Because the gametophytes mature within the spores, they are not free-living, as are the gametophytes of other seedless vascular plants. Heterosporous seedless plants are seen as the evolutionary forerunners of seed plants.

Seeds and pollen—two adaptations to drought—distinguish seed plants from other (seedless) vascular plants. Both adaptations were critical to the colonization of land. Fossils place the earliest distinct seed plants at about 350 million years ago. The earliest reliable record of gymnosperms dates their appearance to the Carboniferous period (359–299 million years ago). Gymnosperms were preceded by the progymnosperms (“first naked seed plants”). This was a transitional group of plants that superficially resembled conifers (“cone bearers”) because they produced wood from the secondary growth of the vascular tissues; however, they still reproduced like ferns, releasing spores to the environment. In the Mesozoic era (251–65.5 million years ago), gymnosperms dominated the landscape. Angiosperms took over by the middle of the Cretaceous period (145.5–65.5 million years ago) in the late Mesozoic era, and have since become the most abundant plant group in most terrestrial biomes.

The two innovative structures of pollen and seed allowed seed plants to break their dependence on water for reproduction and development of the embryo, and to conquer dry land. The pollen grains carry the male gametes of the plant. The small haploid ( $1n$ ) cells are encased in a protective coat that prevents desiccation (drying out) and mechanical damage. Pollen can travel far from the sporophyte that bore it, spreading the plant’s genes and avoiding competition with other plants. The seed offers the embryo protection, nourishment and a mechanism to maintain dormancy for tens or even thousands of years, allowing it to survive in a harsh environment and ensuring germination when growth conditions are optimal. Seeds allow plants to disperse the next generation through both space and time. With such evolutionary advantages, seed plants have become the most successful and familiar group of plants.

## Gymnosperms

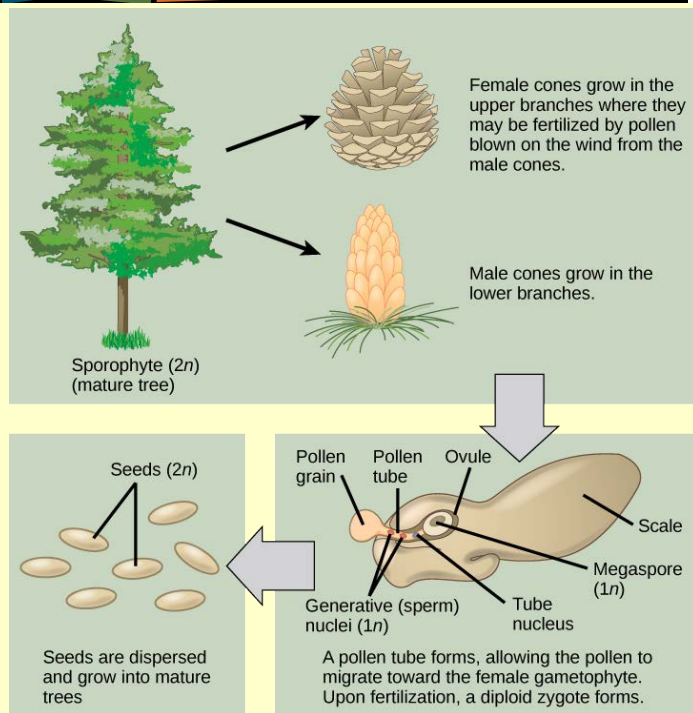
**Gymnosperms** (“naked seed”) are a diverse group of seed plants and are paraphyletic. Paraphyletic groups do not include descendants of a single common ancestor. Gymnosperm characteristics include naked seeds, separate female and male gametes, pollination by wind, and tracheids, which transport water and solutes in the vascular system.

### Life Cycle of a Conifer

Pine trees are conifers and carry both male and female sporophylls on the same plant. Like all gymnosperms, pines are heterosporous and produce male microspores and female megaspores. In the male cones, or staminate cones, the **microsporocytes** give rise to microspores by meiosis. The microspores then develop into pollen grains. Each pollen grain contains two cells: one generative cell that will divide into two sperm, and a second cell that will become the pollen tube cell. In the spring, pine trees release large amounts of yellow pollen, which is carried by the wind. Some gametophytes will land on a female cone. The pollen tube grows from the pollen grain slowly, and the generative cell in the pollen grain divides into two sperm cells by mitosis. One of the sperm cells will finally unite its haploid nucleus with the haploid nucleus of an egg cell in the process of fertilization.

Female **cones**, or ovulate cones, contain two ovules per scale. One **megasporocyte** undergoes meiosis in each ovule. Only a single surviving haploid cell will develop into a female multicellular gametophyte that encloses an egg. On fertilization, the zygote will give rise to the embryo, which is enclosed in a seed coat of tissue from the parent plant. Fertilization and seed development is a long process in pine trees—it may take up to two years after pollination. The seed that is formed contains three generations of tissues: the seed coat that originates from the parent plant tissue, the female gametophyte that will provide nutrients, and the embryo itself. **Figure 14.19** illustrates the life cycle of a conifer.

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**Figure 14.19** This image shows the lifecycle of a conifer.

At what stage does the diploid zygote form?

- when the female cone begins to bud from the tree
- when the sperm nucleus and the egg nucleus fuse
- when the seeds drop from the tree
- when the pollen tube begins to grow

CONCEPT in ACTION



Watch this [video \(http://openstaxcollege.org/l/gymnosperm\)](http://openstaxcollege.org/l/gymnosperm) to see the process of seed production in gymnosperms.

## Diversity of Gymnosperms

Modern gymnosperms are classified into four major divisions and comprise about 1,000 described species. Coniferophyta, Cycadophyta, and Ginkgophyta are similar in their production of secondary cambium (cells that generate the vascular system of the trunk or stem) and their pattern of seed development, but are not closely related phylogenetically to each other. Gnetophyta are considered the closest group to angiosperms because they produce true xylem tissue that contains both tracheids and vessel elements.

### Conifers

**Conifers** are the dominant phylum of gymnosperms, with the most variety of species. Most are tall trees that usually bear scale-like or needle-like leaves. The thin shape of the needles and their waxy cuticle limits water loss through transpiration. Snow slides easily off needle-shaped leaves, keeping the load light and decreasing breaking of branches. These adaptations to cold and dry weather explain the predominance of conifers at high altitudes and in cold climates. Conifers include familiar evergreen trees, such as pines, spruces, firs, cedars, sequoias, and yews (**Figure 14.20**). A few species are deciduous and lose their leaves all at once in fall. The European larch and the tamarack are examples of deciduous conifers. Many coniferous trees are harvested for paper pulp and timber. The wood of conifers is more primitive than the wood of angiosperms; it contains tracheids, but no vessel elements, and is referred to as “soft wood.”



**Figure 14.20** Conifers are the dominant form of vegetation in cold or arid environments and at high altitudes. Shown here are the (a) evergreen spruce, (b) sequoia, (c) juniper, and (d) a deciduous gymnosperm: the tamarack *Larix laricina*. Notice the yellow leaves of the tamarack. (credit b: modification of work by Alan Levine; credit c: modification of work by Wendy McCormac; credit d: modification of work by Micky Zlimen)

### Cycads

**Cycads** thrive in mild climates and are often mistaken for palms because of the shape of their large, compound leaves. They bear large cones, and unusually for gymnosperms, may be pollinated by beetles, rather than wind. They dominated the landscape during the age of dinosaurs in the Mesozoic era (251–65.5 million years ago). Only a hundred or so cycad species persisted to modern times. They face possible extinction, and several species are protected through international conventions. Because of their attractive shape, they are often used as ornamental plants in gardens (**Figure 14.21**).



**Figure 14.21** This *Encephalartos ferox* cycad exhibits large cones. (credit: Wendy Cutler)

### Ginkgophytes

The single surviving species of **ginkgophyte** is the *Ginkgo biloba* (**Figure 14.22**). Its fan-shaped leaves, unique among seed plants because they feature a dichotomous venation pattern, turn yellow in autumn and fall from the plant. For centuries, Buddhist monks cultivated *Ginkgo biloba*, ensuring its preservation. It is planted in public spaces because it is unusually resistant to pollution. Male and female organs are found on separate plants. Usually, only male trees are planted by gardeners because the seeds produced by the female plant have an off-putting smell of rancid butter.



**Figure 14.22** This plate from the 1870 book *Flora Japonica, Sectio Prima (Tafelband)* depicts the leaves and fruit of *Ginkgo biloba*, as drawn by Philipp Franz von Siebold and Joseph Gerhard Zuccarini.

### Gnetophytes

**Gnetophytes** are the closest relatives to modern angiosperms, and include three dissimilar genera of plants. Like angiosperms, they have broad leaves. *Gnetum* species are mostly vines in tropical and subtropical zones. The single species of *Welwitschia* is an unusual, low-growing plant found in the deserts of Namibia and Angola. It may live for up to 2000

years. The genus *Ephedra* is represented in North America in dry areas of the southwestern United States and Mexico (**Figure 14.23**). *Ephedra*'s small, scale-like leaves are the source of the compound ephedrine, which is used in medicine as a potent decongestant. Because ephedrine is similar to amphetamines, both in chemical structure and neurological effects, its use is restricted to prescription drugs. Like angiosperms, but unlike other gymnosperms, all gnetophytes possess vessel elements in their xylem.



**Figure 14.23** *Ephedra viridis*, known by the common name Mormon tea, grows in the western United States. (credit: US National Park Service, USDA-NRCS PLANTS Database)



Watch this **BBC video** (<http://openstaxcollege.org/l/welwitschia>) describing the amazing strangeness of Welwitschia.

## 14.4 | Seed Plants: Angiosperms

By the end of this section, you will be able to:

- Describe the main parts of a flower and their purpose
- Detail the life cycle of an angiosperm
- Discuss the two main groups into which flower plants are divided, as well as explain how basal angiosperms differ from others

From their humble and still obscure beginning during the early Jurassic period (202–145.5 MYA), the angiosperms, or flowering plants, have successfully evolved to dominate most terrestrial ecosystems. Angiosperms include a staggering number of genera and species; with more than 260,000 species, the division is second only to insects in terms of diversification (**Figure 14.24**).



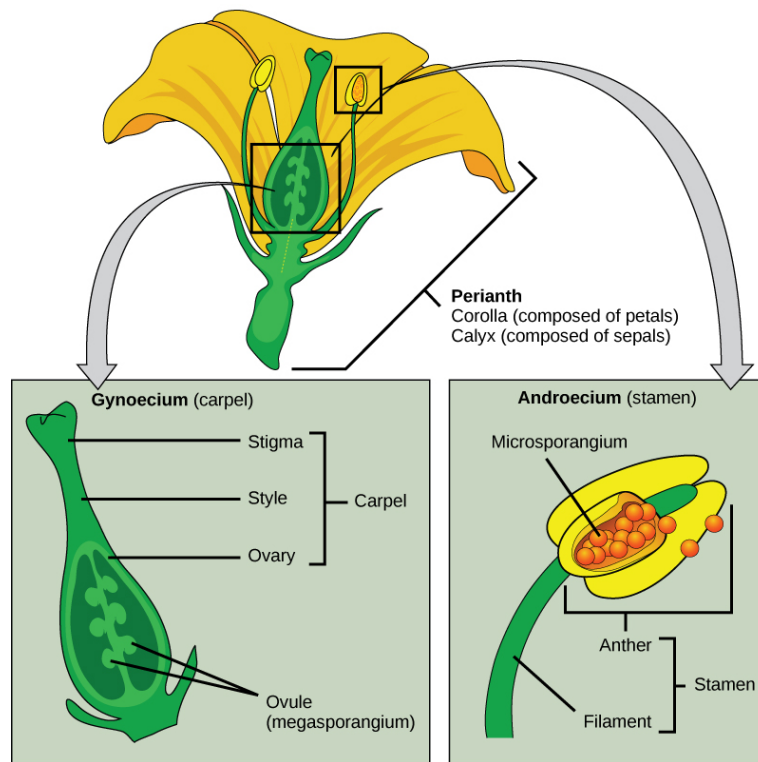
**Figure 14.24** These flowers grow in a botanical garden border in Bellevue, WA. Flowering plants dominate terrestrial landscapes. The vivid colors of flowers are an adaptation to pollination by insects and birds. (credit: Myriam Feldman)

Angiosperm success is a result of two novel structures that ensure reproductive success: flowers and fruit. Flowers allowed plants to form cooperative evolutionary relationships with animals, in particular insects, to disperse their pollen to female gametophytes in a highly targeted way. Fruit protect the developing embryo and serve as an agent of dispersal. Different structures on fruit reflect the dispersal strategies that help with the spreading of seeds.

## Flowers

Flowers are modified leaves or sporophylls organized around a central stalk. Although they vary greatly in appearance, all flowers contain the same structures: sepals, petals, pistils, and stamens. A whorl of **sepals** (the **calyx**) is located at the base of the peduncle, or stem, and encloses the floral bud before it opens. Sepals are usually photosynthetic organs, although there are some exceptions. For example, the corolla in lilies and tulips consists of three sepals and three petals that look virtually identical—this led botanists to coin the word tepal. **Petals** (collectively the **corolla**) are located inside the whorl of sepals and usually display vivid colors to attract pollinators. Flowers pollinated by wind are usually small and dull. The sexual organs are located at the center of the flower.

As illustrated in **Figure 14.25**, the stigma, style, and ovary constitute the female organ, the **carpel** or **pistil**, which is also referred to as the **gynoecium**. A gynoecium may contain one or more carpels within a single flower. The megaspores and the female gametophytes are produced and protected by the thick tissues of the carpel. A long, thin structure called a **style** leads from the sticky **stigma**, where pollen is deposited, to the **ovary** enclosed in the carpel. The ovary houses one or more ovules that will each develop into a seed upon fertilization. The male reproductive organs, the androecium or **stamens**, surround the central carpel. Stamens are composed of a thin stalk called a **filament** and a sac-like structure, the **anther**, in which microspores are produced by meiosis and develop into pollen grains. The filament supports the anther.



**Figure 14.25** This image depicts the structure of a perfect and complete flower. Perfect flowers carry both male and female floral organs. (credit: modification of work by Mariana Ruiz Villareal)

## Fruit

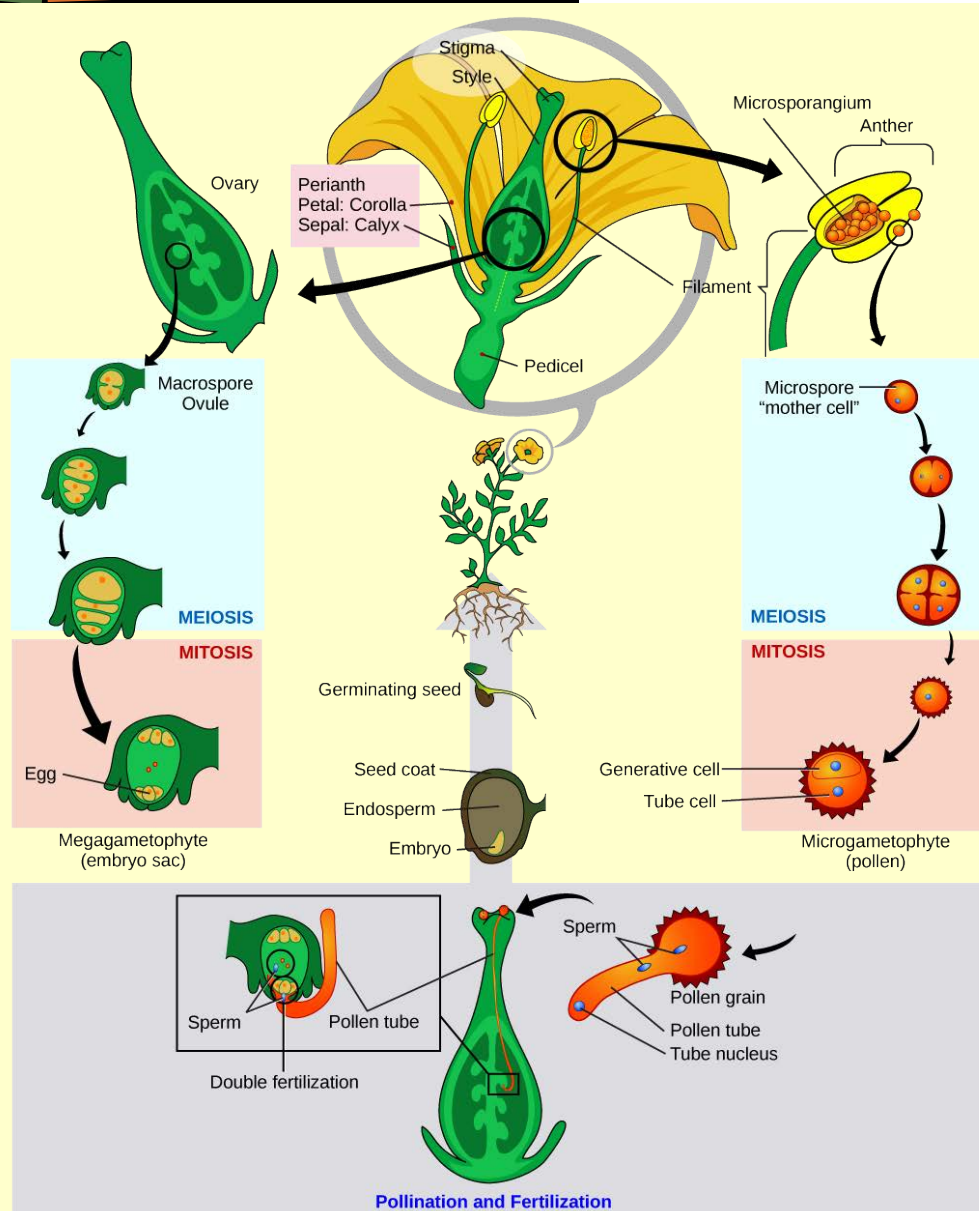
The seed forms in an ovary, which enlarges as the seeds grow. As the seed develops, the walls of the ovary also thicken and form the fruit. In botany, a fruit is a fertilized and fully grown, ripened ovary. Many foods commonly called vegetables are actually fruit. Eggplants, zucchini, string beans, and bell peppers are all technically fruit because they contain seeds and are derived from the thick ovary tissue. Acorns and winged maple keys, whose scientific name is a samara, are also fruit.

Mature fruit can be described as fleshy or dry. Fleshy fruit include the familiar berries, peaches, apples, grapes, and tomatoes. Rice, wheat, and nuts are examples of dry fruit. Another distinction is that not all fruits are derived from the ovary. Some fruits are derived from separate ovaries in a single flower, such as the raspberry. Other fruits, such as the pineapple, form from clusters of flowers. Additionally, some fruits, like watermelon and orange, have rinds. Regardless of how they are formed, fruits are an agent of dispersal. The variety of shapes and characteristics reflect the mode of dispersal. The light, dry fruits of trees and dandelions are carried by the wind. Floating coconuts are transported by water. Some fruits are colored, perfumed, sweet, and nutritious to attract herbivores, which eat the fruit and disperse the tough undigested seeds in their feces. Other fruits have burs and hooks that cling to fur and hitch rides on animals.

## The Life Cycle of an Angiosperm

The adult, or sporophyte, phase is the main phase in an angiosperm's life cycle. Like gymnosperms, angiosperms are heterosporous. They produce microspores, which develop into pollen grains (the male gametophytes), and megaspores, which form an ovule containing the female gametophytes. Inside the anthers' microsporangia (**Figure 14.26**), male microsporocytes divide by meiosis, generating haploid microspores that undergo mitosis and give rise to pollen grains. Each pollen grain contains two cells: one generative cell that will divide into two sperm, and a second cell that will become the pollen tube cell.

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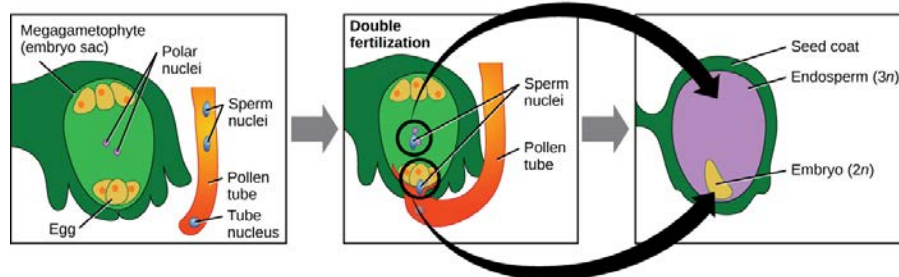
**Figure 14.26** This diagram shows the lifecycle of an angiosperm. Anthers and ovaries are structures that shelter the actual gametophytes: the pollen grain and embryo sac. Double fertilization is a process unique to angiosperms. (credit: modification of work by Mariana Ruiz Villareal)

If a flower lacked a megasporangium, what type of gamete would it not be able to form? If it lacked a microsporangium, what type of gamete would not form?

In the ovules, the female gametophyte is produced when a megasporocyte undergoes meiosis to produce four haploid megaspores. One of these is larger than the others and undergoes mitosis to form the female gametophyte or embryo sac. Three mitotic divisions produce eight nuclei in seven cells. The egg and two cells move to one end of the embryo sac (gametophyte) and three cells move to the other end. Two of the nuclei remain in a single cell and fuse to form a  $2n$  nucleus; this cell moves to the center of the embryo sac.

When a pollen grain reaches the stigma, a pollen tube extends from the grain, grows down the style, and enters through an opening in the integuments of the ovule. The two sperm cells are deposited in the embryo sac.

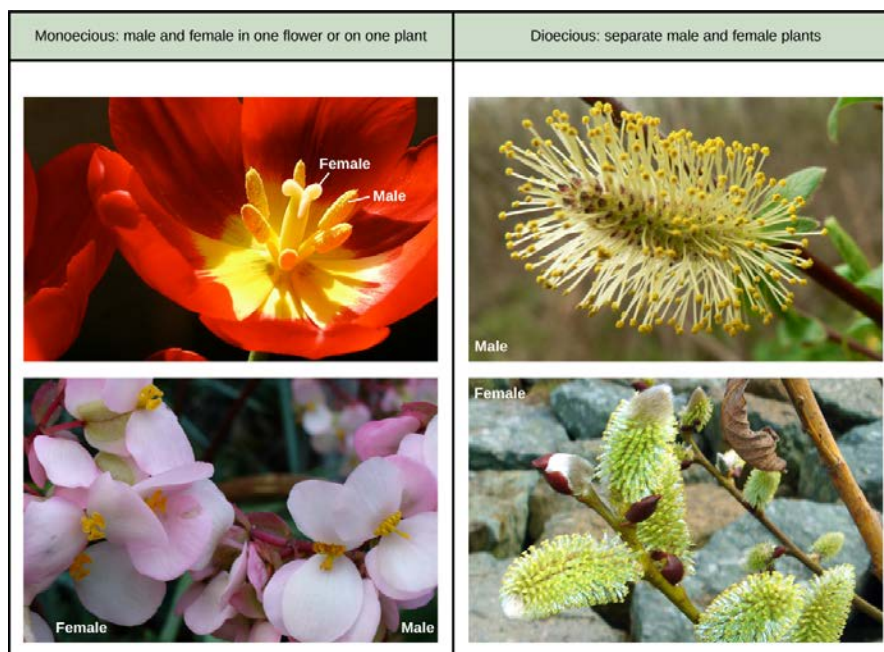
What occurs next is called a double fertilization event (**Figure 14.27**) and is unique to angiosperms. One sperm and the egg combine, forming a diploid zygote—the future embryo. The other sperm fuses with the diploid nucleus in the center of the embryo sac, forming a triploid cell that will develop into the endosperm: a tissue that serves as a food reserve. The zygote develops into an embryo with a radicle, or small root, and one or two leaf-like organs called **cotyledons**. Seed food reserves are stored outside the embryo, and the cotyledons serve as conduits to transmit the broken-down food reserves to the developing embryo. The seed consists of a toughened layer of integuments forming the coat, the endosperm with food reserves and, at the center, the well-protected embryo.



**Figure 14.27** Double fertilization occurs only in angiosperms. (credit: modification of work by Mariana Ruiz Villareal)

Most flowers carry both stamens and carpels; however, a few species self-pollinate. These are known as “perfect” flowers because they contain both types of sex organs (**Figure 14.25**). Biochemical and anatomical barriers to self-pollination promote cross-pollination. Self-pollination is a severe form of inbreeding, and can increase the number of genetic defects in offspring.

A plant may have perfect flowers, and thus have both genders in each flower; or, it may have imperfect flowers of both kinds on one plant (**Figure 14.28**). In each case, such species are called monoecious plants, meaning “one house.” Some botanists refer to plants with perfect flowers simply as hermaphroditic. Some plants are dioecious, meaning “two houses,” and have male and female flowers (“imperfect flowers”) on different plants. In these species, cross-pollination occurs all the time.



**Figure 14.28** Monoecious plants have both male and female reproductive structures on the same flower or plant. In dioecious plants, males and females reproductive structures are on separate plants. (credit a: modification of work by Liz West; credit c: modification of work by Scott Zona)

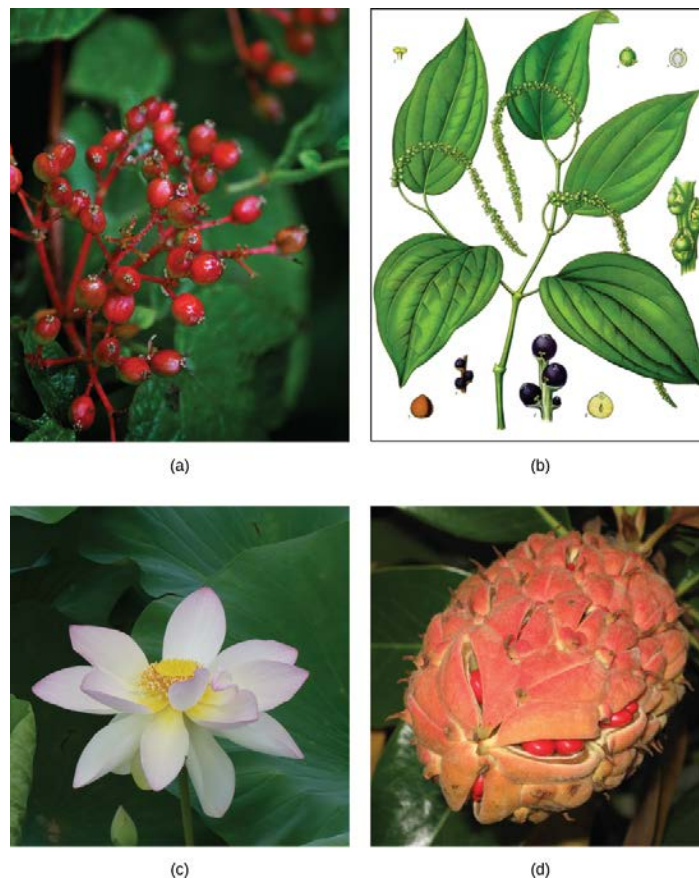
## Diversity of Angiosperms

Angiosperms are classified in a single division, the **Anthophyta**. Modern angiosperms appear to be a monophyletic group, which means that they originate from a single ancestor. Flowering plants are divided into two major groups, according to the structure of the cotyledons, the pollen grains, and other features: **monocots**, which include grasses and lilies, and **eudicots**

or **dicots**, a polyphyletic group. **Basal angiosperms** are a group of plants that are believed to have branched off before the separation into monocots and eudicots because they exhibit traits from both groups. They are categorized separately in many classification schemes, and correspond to a grouping known as the Magnoliidae. The Magnoliidae group is comprised of magnolia trees, laurels, water lilies, and the pepper family.

### Basal Angiosperms

The Magnoliidae are represented by the magnolias: tall trees that bear large, fragrant flowers with many parts, and are considered archaic (**Figure 14.29d**). Laurel trees produce fragrant leaves and small inconspicuous flowers. The Laurales are small trees and shrubs that grow mostly in warmer climates. Familiar plants in this group include the bay laurel, cinnamon, spice bush (**Figure 14.29a**), and the avocado tree. The Nymphaeales are comprised of the water lilies, lotus (**Figure 14.29c**), and similar plants. All species of the Nymphaeales thrive in freshwater biomes, and have leaves that float on the water surface or grow underwater. Water lilies are particularly prized by gardeners, and have graced ponds and pools since antiquity. The Piperales are a group of herbs, shrubs, and small trees that grow in tropical climates. They have small flowers without petals that are tightly arranged in long spikes. Many species are the source of prized fragrances or spices; for example, the berries of *Piper nigrum* (**Figure 14.29b**) are the familiar black pepper that is used to flavor many dishes.



**Figure 14.29** The (a) southern spicebush belongs to the *Laurales*, the same family as cinnamon and bay laurel. The fruit of (b) the *Piper nigrum* plant is black pepper, the main product that was traded along spice routes. Notice the small, unobtrusive clustered flowers. (c) Lotus flowers, *Nelumbo nucifera*, have been cultivated since antiquity for their ornamental value; the root of the lotus flower is eaten as a vegetable. The (d) red berries of a magnolia tree, characteristic of the final stage, are just starting to appear. (credit a: modification of work by Cory Zanker; credit b: modification of work by Franz Eugen Köhler; credit c: modification of work by "berduchwal"/Flickr; credit d: modification of work by "Coastside2"/Wikimedia Commons)

### Monocots

Plants in the monocot group have a single cotyledon in the seedling, and also share other anatomical features. Veins run parallel to the length of the leaves, and flower parts are arranged in a three- or six-fold symmetry. The pollen from the first angiosperms was monosulcate (containing a single furrow or pore through the outer layer). This feature is still seen in the modern monocots. True woody tissue is rarely found in monocots, and the vascular tissue of the stem is not arranged in any particular pattern. The root system is mostly adventitious (unusually positioned) with no major taproot. The monocots include familiar plants such as the true lilies (not to be confused with the water lilies), orchids, grasses, and palms. Many

important crops, such as rice and other cereals (**Figure 14.30a**), corn, sugar cane, and tropical fruit, including bananas and pineapple, belong to the monocots.



**Figure 14.30** The major crops in the world are flowering plants. One staple food, (a) rice, is a monocot, as are other cereals, while (b) beans are eudicots. Some popular flowers, such as this (c) lily are monocots; while others, such as this (d) daisy are eudicots. (credit a: modification of work by David Nance; credit b: modification of work by USDA, ARS; credit c: modification of work by “longhornrave”/Flickr; credit d: modification of work by “Cellulaer”/NinjaPhoto)

### Eudicots

Eudicots, or true dicots, are characterized by the presence of two cotyledons. Veins form a network in leaves. Flower parts come in four, five, or many whorls. Vascular tissue forms a ring in the stem. (In monocots, vascular tissue is scattered in the stem.) Eudicots can be **herbaceous** (like dandelions or violets), or produce woody tissues. Most eudicots produce pollen that is trisulcate or triplicate, with three furrows or pores. The root system is usually anchored by one main root developed from the embryonic radicle. Eudicots comprise two-thirds of all flowering plants. Many species seem to exhibit characteristics that belong to either group; therefore, the classification of a plant as a monocot or a eudicot is not always clearly evident (**Table 14.1**).

### Comparison of Structural Characteristics of Monocots and Eudicots

Characteristic	Monocot	Eudicot
Cotyledon	One	Two
Veins in leaves	Parallel	Network ( branched)
Vascular tissue	Scattered	Arranged in ring pattern
Roots	Network of adventitious roots	Tap root with many lateral roots
Pollen	Monosulcate	Trisulcate
Flower parts	Three or multiple of three	Four, five, multiple of four or five and whorls

**Table 14.1**



Explore this **website** (<http://openstaxcollege.org/l/pollinators>) for more information on poillinators.

## KEY TERMS

**anther** a sac-like structure at the tip of the stamen in which pollen grains are produced

**Anthophyta** the division to which angiosperms belong

**apical meristem** the growing point in a vascular plant at the tip of a shoot or root where cell division occurs

**basal angiosperms** a group of plants that probably branched off before the separation of monocots and eudicots

**calyx** the whorl of sepals

**carpel** the female reproductive part of a flower consisting of the stigma, style, and ovary

**club moss** the earliest group of seedless vascular plants

**cone** the ovulate strobilus on gymnosperms that contains ovules

**conifer** the dominant division of gymnosperms with the most variety of species

**corolla** the collection of petals

**cotyledon** the one (monocot) or two (dicot) primitive leaves present in a seed

**cycad** a division of gymnosperms that grow in tropical climates and resemble palm trees

**dicot** a group of angiosperms whose embryos possess two cotyledons; also known as eudicot

**diplontic** describes a life cycle in which the diploid stage is the dominant stage

**eudicots** a group of angiosperms whose embryos possess two cotyledons; also known as dicot

**fern** a seedless vascular plant that produces large fronds; the most advanced group of seedless vascular plants

**filament** the thin stalk that links the anther to the base of the flower

**gametangium** (plural: gametangia) the structure within which gametes are produced

**gametophyte** the haploid plant that produces gametes

**ginkgophyte** a division of gymnosperm with one living species, the *Ginkgo biloba*, a tree with fan-shaped leaves

**gnetophyte** a division of gymnosperms with varied morphological features that produce vessel elements in their woody tissues

**gymnosperm** a seed plant with naked seeds (seeds exposed on modified leaves or in cones)

**gynoecium** the group of structures that constitute the female reproductive organ; also called the pistil

**haplodiplontic** describes a life cycle in which the haploid and diploid stages alternate; also known as an alternation of generations life cycle

**haplontic** describes a life cycle in which the haploid stage is the dominant stage

**herbaceous** describes a plant without woody tissue

**heterosporous** having two kinds of spores that give rise to male and female gametophytes

**homosporous** having one kind of spore that gives rise to gametophytes that give rise to both male and female gametes

**hornwort** a group of non-vascular plants in which stomata appear

**horsetail** a seedless vascular plant characterized by a jointed stem

**liverwort** the most primitive group of non-vascular plants

**megasporocyte** a megaspore mother cell; larger spore that germinates into a female gametophyte in a heterosporous plant

**microsporocyte** smaller spore that produces a male gametophyte in a heterosporous plant

**monocot** a related group of angiosperms that produce embryos with one cotyledon and pollen with a single ridge

**moss** a group of plants in which a primitive conductive system appears

**nonvascular plant** a plant that lacks vascular tissue formed of specialized cells for the transport of water and nutrients

**ovary** the chamber that contains and protects the ovule or female megasporangium

**petal** a modified leaf interior to the sepal; colorful petals attract animal pollinator

**phloem** the vascular tissue responsible for transport of sugars, proteins, and other solutes

**pistil** the group of structures that constitute the female reproductive organ; also called the carpel

**sepal** a modified leaf that encloses the bud; outermost structure of a flower

**sporangium** (plural: sporangia) the organ within which spores are produced

**sporophyll** a leaf modified structurally to bear sporangia

**sporophyte** the diploid plant that produces spores

**stamen** the group of structures that contain the male reproductive organs

**stigma** uppermost structure of the carpel where pollen is deposited

**strobili** cone-like structures that contain the sporangia

**style** the long thin structure that links the stigma to the ovary

**syngamy** the union of two gametes in fertilization

**vascular plant** a plant in which there is a network of cells that conduct water and solutes through the organism

**whisk fern** a seedless vascular plant that lost roots and leaves by evolutionary reduction

**xylem** the vascular tissue responsible for long-distance transport of water and nutrients

## CHAPTER SUMMARY

### 14.1 The Plant Kingdom

Land plants evolved traits that made it possible to colonize land and survive out of water. Adaptations to life on land include vascular tissues, roots, leaves, waxy cuticles, and a tough outer layer that protects the spores. Land plants include nonvascular plants and vascular plants. Vascular plants, which include seedless plants and plants with seeds, have apical meristems, and embryos with nutritional stores. All land plants share the following characteristics: alternation of generations, with the haploid plant called a gametophyte and the diploid plant called a sporophyte; formation of haploid spores in a sporangium; and formation of gametes in a gametangium.

### 14.2 Seedless Plants

Seedless nonvascular plants are small. The dominant stage of the life cycle is the gametophyte. Without a vascular system and roots, they absorb water and nutrients through all of their exposed surfaces. There are three main groups: the liverworts, the hornworts, and the mosses. They are collectively known as bryophytes.

Vascular systems consist of xylem tissue, which transports water and minerals, and phloem tissue, which transports sugars and proteins. With the vascular system, there appeared leaves—large photosynthetic organs—and roots to absorb water from the ground. The seedless vascular plants include club mosses, which are the most primitive; whisk ferns, which lost leaves and roots by reductive evolution; horsetails, and ferns.

### 14.3 Seed Plants: Gymnosperms

Gymnosperms are heterosporous seed plants that produce naked seeds. They appeared in the Carboniferous period (359–299 million years ago) and were the dominant plant life during the Mesozoic era (251–65.5 million years ago). Modern-day gymnosperms belong to four divisions. The division Coniferophyta—the conifers—are the predominant woody plants at high altitudes and latitudes. Cycads resemble palm trees and grow in tropical climates. *Ginkgo biloba* is the only species of the division Ginkgophyta. The last division, the Gnetophytes, is a diverse group of species that produce vessel elements in their wood.

### 14.4 Seed Plants: Angiosperms

Angiosperms are the dominant form of plant life in most terrestrial ecosystems, comprising about 90 percent of all plant species. Most crop and ornamental plants are angiosperms. Their success results, in part, from two innovative structures: the flower and the fruit. Flowers are derived evolutionarily from modified leaves. The main parts of a flower are the sepals and petals, which protect the reproductive parts: the stamens and the carpels. The stamens produce the male gametes, which are pollen grains. The carpels contain the female gametes, which are the eggs inside ovaries. The walls of the ovary thicken after fertilization, ripening into fruit that can facilitate seed dispersal.

Angiosperms' life cycles are dominated by the sporophyte stage. Double fertilization is an event unique to angiosperms. The flowering plants are divided into two main groups—the monocots and eudicots—according to the number of cotyledons in the seedlings. Basal angiosperms belong to a lineage older than monocots and eudicots.

## ART CONNECTION QUESTIONS

- Figure 14.19** At what stage does the diploid zygote form?
  - When the female cone begins to bud from the tree
  - When the sperm nucleus and the egg nucleus fuse
  - When the seeds drop from the tree
  - When the pollen tube begins to grow
- Figure 14.26** If a flower lacked a megasporangium, what type of gamete would it not be able to form? If it lacked a microsporangium, what type of gamete would not form?

## REVIEW QUESTIONS

- The land plants are probably descendants of which of these groups?
  - green algae
  - red algae
  - brown algae
  - angiosperms
- The event that leads from the haploid stage to the diploid stage in alternation of generations is \_\_\_\_\_.
  - meiosis
  - mitosis
  - fertilization
  - germination
- Moss is an example of which type of plant?
  - haplontic plant
  - vascular plant
  - diploontic plant
  - seed plant
- Why do mosses grow well in the Arctic tundra?
  - They grow better at cold temperatures.
  - They do not require moisture.
  - They do not have true roots and can grow on hard surfaces.
  - There are no herbivores in the tundra.
- Which is the most diverse group of seedless vascular plants?
  - the liverworts
  - the horsetails
  - the club mosses
  - the ferns
- Which group are vascular plants?
  - liverworts
  - mosses
  - hornworts
  - ferns

**9.** Which of the following traits characterizes gymnosperms?

- a. The plants carry exposed seeds on modified leaves.
- b. Reproductive structures are located in a flower.
- c. After fertilization, the ovary thickens and forms a fruit.
- d. The gametophyte is longest phase of the life cycle.

**10.** What adaptation do seed plants have in addition to the seed that is not found in seedless plants?

- a. gametophytes
- b. vascular tissue
- c. pollen

d. chlorophyll

**11.** Pollen grains develop in which structure?

- a. the anther
- b. the stigma
- c. the filament
- d. the carpel

**12.** Corn develops from a seedling with a single cotyledon, displays parallel veins on its leaves, and produces monosulcate pollen. It is most likely:

- a. a gymnosperm
- b. a monocot
- c. a eudicot
- d. a basal angiosperm

## CRITICAL THINKING QUESTIONS

**13.** What adaptations do plants have that allow them to survive on land?

**14.** What are the three classes of bryophytes?

**15.** How did the development of a vascular system contribute to the increase in size of plants?

**16.** What are the four modern-day groups of gymnosperms?

**17.** Cycads are considered endangered species and their trade is severely restricted. Customs officials stop suspected smugglers, who claim that the plants in their possession are palm trees and not cycads. How would a botanist distinguish between the two types of plants?

**18.** What are the two structures that allow angiosperms to be the dominant form of plant life in most terrestrial ecosystems?